Edgar Filing: BOK FINANCIAL CORP ET AL - Form 4

BOK FINANCIAL CORP ET AL Form 4 November 23, 2016

November 2	23, 2016											
FORM			GEGU	DIAIE	10			NCECO	MMISSION		PROVAL	
	UNITED	STATES				AND EX 1, D.C. 20		NGE CU	DMMISSION	OMB Number:	3235-0287	
Check the check	nger			c						Expires:	January 31, 2005	
subject Section Form 4	to STATEN 16.	Estimated a burden hour response	average Irs per									
Form 5 obligation may cor <i>See</i> Inst 1(b).	ons Section 17(a) of the	Public U	Jtility 1	Hol	lding Cor	npan	•	Act of 1934, 935 or Section			
(Print or Type	Responses)											
									5. Relationship of Reporting Person(s) to Issuer			
	(Check all applicable)											
(Last)				of Earlie Day/Ye		ransaction		-	Director 10% Owner X Officer (give title Other (specify			
	ERIC DORWAR S, 124 EAST FOU		11/22/2	-	ai)			b	elow) EVP, Chief	below) Information O	fficer	
	(Street)		4. If Am Filed(Mo			ate Origina r)	ıl	A	. Individual or Joi Applicable Line) X_ Form filed by Or	ne Reporting Per	son	
TULSA, O	K 74103							P	Form filed by Mo erson	ore than One Rej	porting	
(City)	(State)	(Zip)	Tab	ole I - N	on-	Derivative	Secur	rities Acqui	red, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/D	Date, if	Code (Instr.	8)	4. Securiti nor Dispos (Instr. 3, 4 Amount	ed of (5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	11/22/2016			М		5,933	А	\$ 48.46	41,952	D		
Common Stock	11/22/2016			М		14,309	А	\$ 36.65	56,261	D		
Common Stock	11/22/2016			М		3,201	А	\$ 55.94	59,462	D		
Common Stock	11/22/2016			М		5,466	А	\$ 55.74	64,928	D		
	11/22/2016			F		23,011	D		41,917	D		

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Common Stock

\$ 80.7311

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
2008 Stock Options	\$ 48.46	11/22/2016		М	5,933	(1)	(2)	Common Stock	5,933	\$
2009 Stock Options	\$ 36.65	11/22/2016		М	14,309	(1)	(2)	Common Stock	14,309	\$
2011 Stock Options	\$ 55.94	11/22/2016		М	3,201	(1)	(2)	Common Stock	3,201	\$
2013 Stock Options	\$ 55.74	11/22/2016		М	5,466	<u>(1)</u>	(2)	Common Stock	5,466	\$

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Parker Donald T C/O FREDERIC DORWART LAWYERS 124 EAST FOURTH STREET TULSA, OK 74103			EVP, Chief Information Officer					

Signatures

/s/ Frederic Dorwart, Power of Attorney

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11/23/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) For options granted in any one year, one-seventh of the options of such grant vest and become exercisable on the grant date of the anniversary each year commencing on the first anniversary after the grant.
- (2) Options expire 3 years after vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.