#### STERLING MICHELLE M

Form 4

November 21, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading STERLING MICHELLE M Issuer Symbol QUALCOMM INC/DE [QCOM] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 5775 MOREHOUSE DR. 11/20/2017

10% Owner \_X\_\_ Officer (give title \_ Other (specify below) EVP, Human Resources

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### SAN DIEGO, CA 92121-1714

(Ctata)

| (City)                               | (State)                                 | (Z <sub>1</sub> p) Tab                                      | le I - Non-                            | Derivativ                             | e Secu                       | rities Acqui | red, Disposed of,  | or Beneficial  | ly Owned  |
|--------------------------------------|---|---|--|---------------------------------------|------------------------------|--------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securi<br>oror Dispo<br>(Instr. 3, | sed of<br>4 and<br>(A)<br>or |              | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common<br>Stock                      | 11/20/2017                              |   | M                                      | 6,896                                 | A                            | \$ 0         | 6,896  | I  | by Trust  |
| Common<br>Stock                      | 11/20/2017                              |   | M                                      | 3,479                                 | A                            | \$ 0         | 10,375   | I  | by Trust  |
| Common<br>Stock                      | 11/20/2017                              |   | M                                      | 2,330                                 | A                            | \$ 0         | 12,705   | I  | by Trust  |
| Common<br>Stock                      | 11/20/2017                              |   | M                                      | 1,961                                 | A                            | \$ 0         | 14,666   | I  | by Trust  |
| Common<br>Stock                      | 11/20/2017                              |   | F                                      | 737                                   | D                            | \$ 66.47     | 13,929   | I  | by Trust  |

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| Common<br>Stock | 11/20/2017 | F    | 1,308 | D | \$ 66.47             | 12,621 | I | by Trust |
|-----------------|------------|------|-------|---|----------------------|--------|---|----------|
| Common<br>Stock | 11/20/2017 | F    | 1,182 | D | \$ 66.47             | 11,439 | I | by Trust |
| Common<br>Stock | 11/20/2017 | F    | 3,599 | D | \$ 66.47             | 7,840  | I | by Trust |
| Common<br>Stock | 11/21/2017 | S(2) | 4,340 | D | \$<br>66.4312<br>(3) | 3,500  | I | by Trust |
| Common<br>Stock | 11/21/2017 | S(2) | 3,500 | D | \$ 66.726<br>(4)     | 0      | I | by Trust |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | Execution Date, if any (Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>orDerivative<br>Securities Acquired<br>(A) or Disposed of<br>(D)<br>(Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                       | 7. Title and Amo<br>Underlying Secu<br>(Instr. 3 and 4) |                |
|---|---|---|---|---|--|--|-----------------------|---|----------------|
|   |   |   |   | Code V                                  | (A) (D)  | Date<br>Exercisable                                      | Expiration Date       | Title   | Aı<br>Nu<br>Sh |
| Restricted<br>Stock Unit                            | <u>(5)</u>  | 11/20/2017                              |   | M                                       | 1,961.4716   | (6)  | 11/20/2017 <u>(6)</u> | Common<br>Stock   | 1.             |
| Restricted<br>Stock Unit                            | <u>(5)</u>  | 11/20/2017                              |   | M                                       | 3,479.5759   | <u>(7)</u>   | 11/20/2018(7)         | Common<br>Stock   | 3.             |
| Restricted<br>Stock Unit                            | <u>(5)</u>  | 11/20/2017                              |   | M                                       | 2,330.863  | <u>(7)</u>   | 11/20/2018(7)         | Common<br>Stock   | 2              |
| Restricted<br>Stock Unit                            | <u>(5)</u>  | 11/20/2017                              |   | M                                       | 6,896.2431   | (8)  | 11/20/2019(8)         | Common<br>Stock   | 6              |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |                      |       |  |  |  |  |
|--------------------------------|---------------|-----------|----------------------|-------|--|--|--|--|
|                                | Director      | 10% Owner | Officer              | Other |  |  |  |  |
| STERLING MICHELLE M            |               |           | EVP, Human Resources |       |  |  |  |  |
| 5775 MOREHOUSE DR.             |               |           |                      |       |  |  |  |  |

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SAN DIEGO, CA 92121-1714

## **Signatures**

By: Noreen E. Burns, Attorney-in-Fact For: Michelle M. Sterling

11/21/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Securities held by Michelle Marie Sterling, TTEE of the Michelle Marie Sterling Trust DTD 11/26/2008.
- (2) The transaction was conducted under a Rule 10b5-1 trading plan.
- (3) The sale prices for this transaction ranged from \$65.6250 to \$66.6201. The filer hereby agrees to provide, upon request, full information regarding the number of shares sold at each separate price.
- (4) The sale prices for this transaction ranged from \$66.64 to \$66.86. The filer hereby agrees to provide, upon request, full information regarding the number of shares sold at each separate price.
- (5) Each Restricted Stock Unit is the economic equivalent of one share of Qualcomm common stock and is converted into common stock upon vesting.
- (6) The Restricted Stock Units (and allocable dividend equivalents) vest in equal one-third amounts on November 20, 2015, 2016 and 2017.
- (7) The Restricted Stock Units (and allocable dividend equivalents) vest in equal one-third amounts on November 20, 2016, 2017 and 2018.
- (8) The Restricted Stock Units (and allocable dividend equivalents) vest in equal one-third amounts on November 20, 2017, 2018 and 2019. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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