

RUBY G MARY
Form 4
January 03, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
RUBY G MARY

(Last) (First) (Middle)

2525 SPEAKMAN DRIVE, C/O
IMAX CORPORATION

(Street)

MISSISSAUGA, A6 L5K 1B1

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

IMAX CORP [IMAX]

3. Date of Earliest Transaction
(Month/Day/Year)

12/29/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____ Officer (give title ____ Other (specify
below) below)

Chief Administrative Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|--|---|---|--------------------------------------|---|--|--|---|
| common shares (opening balance) | | | | (A) or (D) | Price | | |
| | | | Code | V | Amount | | |
| common shares (opening balance) | | | | | 10,855 | D | |
| common shares | 12/29/2017 | | M | 5,717 (1) | A \$ 0 (4) | 16,572 | D |
| common shares | 12/29/2017 | | F | 3,063 (2) | D \$ 23.15 | 13,509 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not**

SEC 1474
(9-02)

required to respond unless the form
displays a currently valid OMB control
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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|---|--|---|---|--------------------------------------|---|--|--|---|
| restricted share units ⁽³⁾ | \$ 0 ⁽⁴⁾ | 12/29/2017 | | M | 1,435 ⁽¹⁾ | 12/29/2017 12/01/2018 | common shares | 1,435 |
| restricted share units ⁽³⁾ | \$ 0 ⁽⁴⁾ | 12/29/2017 | | M | 2,260 ⁽¹⁾ | 12/29/2017 12/01/2019 | common shares | 2,260 |
| restricted share units ⁽³⁾ | \$ 0 ⁽⁴⁾ | 12/29/2017 | | M | 2,022 ⁽¹⁾ | 12/29/2017 01/01/2021 | common shares | 2,022 |
| restricted share units ⁽³⁾ | \$ 0 ⁽⁴⁾ | 12/31/2017 | | E | 867 ⁽⁶⁾ | 12/01/2020 01/01/2021 | common shares | 867 |
| options | \$ 32.45 | 12/31/2017 | | E | 1,050 ⁽⁶⁾ | 03/07/2021 03/07/2024 | common shares | 1,050 |

Reporting Owners

| Reporting Owner Name / Address | Relationships |
|---|----------------------------------|
| | Director 10% Owner Officer Other |
| RUBY G MARY 2525 SPEAKMAN DRIVE C/O IMAX CORPORATION MISSISSAUGA, A6 L5K 1B1 | Chief Administrative Officer |

Signatures

G. Mary Ruby 01/02/2018

⁽¹⁾Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the conversion upon vesting of restricted share units into common shares. Each tranche vested December 29, 2017 in connection with Ms. Ruby's retirement from IMAX Corporation.
- (2) Ms. Ruby is reporting the withholding by IMAX of common shares to satisfy the tax withholding obligations in connection with the delivery of common shares upon conversion of the restricted share unit
- (3) Each restricted share unit represents a contingent right to receive one common share of IMAX Corporation.
- (4) Each restricted share unit is the economic equivalent of one common share of IMAX Corporation.
This represents the number of restricted share units for transactions reported on this Form 4 only. Ms. Ruby's aggregate remaining
(5) outstanding option, restricted share unit and common share balances following these transaction will be 56,196; 0 and 13,509 respectively.
- (6) Cancelled upon Ms Ruby's retirement from IMAX Corporation.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.