

RAGONE DOMINICK

Form 4

March 05, 2019

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RAGONE DOMINICK

(Last) (First) (Middle)

C/O LAZARD LTD, 30  
ROCKEFELLER PLAZA

(Street)

NEW YORK, NY 10112

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading  
Symbol  
Lazard Ltd [LAZ]

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/01/2019

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

Chief Accounting Officer

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|---|---|---|---|--|--|---|
| Class A<br>Common<br>Stock            | 03/01/2019                              |   | M                                       | 180 A <u>(1)</u>  | 9,594  | D  |   |
| Class A<br>Common<br>Stock            | 03/01/2019                              |   | F                                       | 89 <u>(2)</u> D <u>(3)</u>  | \$<br>37.43 9,505  | D  |   |
| Class A<br>Common<br>Stock            | 03/01/2019                              |   | M                                       | 7,268 A <u>(1)</u>  | 16,773   | D  |   |
| Restricted<br>Class A                 | 03/01/2019                              |   | M                                       | 5,859 D <u>(1)</u>  | 3,489  | D  |   |

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Common  
Stock

|                            |            |   |       |   |            |        |   |
|----------------------------|------------|---|-------|---|------------|--------|---|
| Class A<br>Common<br>Stock | 03/01/2019 | M | 5,859 | A | <u>(1)</u> | 22,632 | D |
|----------------------------|------------|---|-------|---|------------|--------|---|

|                            |            |   |                     |   |                           |        |   |
|----------------------------|------------|---|---------------------|---|---------------------------|--------|---|
| Class A<br>Common<br>Stock | 03/01/2019 | F | 4,808<br><u>(2)</u> | D | \$<br>37.43<br><u>(3)</u> | 17,824 | D |
|----------------------------|------------|---|---------------------|---|---------------------------|--------|---|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |
|---|--|---|---|--------------------------------------|--|--|---|
|   |  |   |   | Code                                 | V (A) (D)  | Date<br>Exercisable Expiration<br>Date Date                    | Title Amount<br>or<br>Number<br>of<br>Shares                        |
| Restricted<br>Stock<br>Units <u>(4)</u>             | <u>(5)</u>   | 03/01/2019                              |   | A                                    | 610  | <u>(6)</u> <u>(6)</u>  | Class A<br>Common<br>Stock 610                                      |
| Restricted<br>Stock<br>Units                        | <u>(5)</u>   | 03/01/2019                              |   | M                                    | 180  | 03/01/2019 03/01/2019  | Class A<br>Common<br>Stock 180                                      |
| Restricted<br>Stock<br>Units                        | <u>(5)</u>   | 03/01/2019                              |   | M                                    | 7,268  | 03/01/2019 03/01/2019  | Class A<br>Common<br>Stock 7,268                                    |

## Reporting Owners

| Reporting Owner Name / Address                            | Relationships                    |
|---|----------------------------------|
|   | Director 10% Owner Officer Other |
| RAGONE DOMINICK<br>C/O LAZARD LTD<br>30 ROCKEFELLER PLAZA | Chief Accounting Officer         |

NEW YORK, NY 10112

## Signatures

/s/ Dominick Ragone by Scott D. Hoffman under a P  
of A

03/05/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares of unrestricted Class A Common Stock were acquired upon the vesting of the relevant portion of prior grants of Restricted Stock
- (1) Units ("RSUs") and Restricted Class A Common Stock, including certain RSUs that had been acquired pursuant to the dividend equivalent reinvestment provisions of the underlying RSU awards.
  - (2) Represents shares of Class A Common Stock withheld by the Company to cover taxes arising from the vesting of RSUs and Restricted Class A Common Stock, as applicable.
  - (3) Represents the New York Stock Exchange closing price of Class A Common Stock on the trading day immediately preceding the vesting date of RSUs and Restricted Class A Common Stock referenced in Footnote (1).
  - (4) Additional RSUs were acquired pursuant to the dividend equivalent reinvestment provisions of underlying RSU awards.
  - (5) Each RSU represents a contingent right to receive one share of Class A Common Stock.
  - (6) Of these RSUs, 180 vested on March 1, 2019, 140 will vest on or around March 2, 2020, 155 will vest on or around March 1, 2021, and 135 will vest on or around March 1, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.