Louvet Eric Form 4 February 20, 2019

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Louvet Eric Issuer Symbol HARMONIC INC [HLIT] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner \_\_Other (specify \_X\_\_ Officer (give title \_ 4300 NORTH FIRST STREET 02/15/2019 below) SVP Sales NA & APAC (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting SAN JOSE, CA 95134 Person

(City)	(State)	Zip) Table	e I - Non-D	erivative S	ecuri	ties Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	02/15/2019		M	1,250	A	\$ 0	53,702	D	
Common Stock	02/15/2019		F	507	D	\$ 5.49	53,195	D	
Common Stock	02/15/2019		M	16,667	A	\$0	69,862	D	
Common Stock	02/15/2019		F	6,116	D	\$ 5.49	63,746	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**OMB APPROVAL** 

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securition (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Restricted Stock Units	\$ 0	02/15/2019		M		1,250	02/15/2018(1)	02/15/2019	Common Stock	1,25
Restricted Stock Units	\$ 0	02/15/2019		M		16,667	02/15/2019(2)	02/15/2021	Common Stock	16,6

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Louvet Eric

4300 NORTH FIRST STREET SVP Sales NA & APAC

SAN JOSE, CA 95134

## **Signatures**

/s/ Laura Donovan By Attorney-in-Fact: Laura
Donovan

02/20/2019

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These shares of common stock were acquired upon the vesting of restricted stock units on February 15, 2019. These restricted stock units (1) were initially granted to the Reporting Person on May 12, 2017, and were identified on a Form 3 filed by the Reporting Person on February 6, 2019.
- These shares of common stock were acquired upon the vesting of restricted stock units on February 15, 2019. These restricted stock units (2) were initially granted to the Reporting Person on March 20, 2018, and were identified on a Form 3 filed by the Reporting Person on February 6, 2019.

Reporting Owners 2

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