

GORMAN JAMES CARVELL
Form 5
February 08, 2019

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
GORMAN JAMES CARVELL

2. Issuer Name and Ticker or Trading Symbol
GORMAN RUPP CO [GRC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2018

THE GORMAN-RUPP COMPANY, 600 SOUTH AIRPORT ROAD

(Street)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

MANSFIELD, OH 44903

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	Price	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/09/2018	Â	G	3,720	D	\$ 0	1,273,268	I	By James C. Gorman Trust
Common Stock	01/29/2018	Â	G	250	D	\$ 0	1,273,018	I	By James C. Gorman Trust

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Common Stock	02/01/2018	Â	G	100	D	\$ 0	1,272,918	I	By James C. Gorman Trust
Common Stock	08/16/2018	Â	G	400	D	\$ 0	1,272,518	I	By James C. Gorman Trust
Common Stock	12/20/2018	Â	G	900	D	\$ 0	1,271,618	I	By James C. Gorman Trust
Common Stock (401-K Plan)	03/31/2018	Â	<u>J(1)</u>	69	A	\$ 29.25	7,367	I	By 401-K Trust
Common Stock (401-K Plan)	06/30/2018	Â	<u>J(1)</u>	38	A	\$ 35	7,405	I	By 401-K Trust
Common Stock (401-K Plan)	09/30/2018	Â	<u>J(1)</u>	49	A	\$ 36.5	7,454	I	By 401-K Trust
Common Stock (401-K Plan)	12/06/2018	Â	<u>J(2)</u>	770	D	\$ 32.51	6,684	I	By 401-K Trust
Common Stock (401-K Plan)	12/31/2018	Â	<u>J(1)</u>	526	A	\$ 32.41	7,210	I	By 401-K Trust
Common Stock	01/09/2018	Â	G	3,720	A	\$ 0	3,935,657	I	By family <u>(3)</u>
Common Stock	03/13/2018	Â	<u>J(4)</u>	335	A	\$ 30.43	3,935,992	I	By family <u>(5)</u>
Common Stock	03/31/2018	Â	<u>J(1)</u>	251	A	\$ 29.25	3,936,243	I	By family <u>(6)</u>
Common Stock	06/12/2018	Â	<u>J(4)</u>	296	A	\$ 33.2	3,936,539	I	By family <u>(7)</u>
Common Stock	06/30/2018	Â	<u>J(1)</u>	127	A	\$ 35	3,936,666	I	By family <u>(8)</u>
Common Stock	08/16/2018	Â	G	400	A	\$ 0	3,937,066	I	By family <u>(9)</u>

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Common Stock	09/12/2018	Â	<u>J(4)</u>	271	A	\$ 36.41	3,937,337	I	By family (10)
Common Stock	09/30/2018	Â	<u>J(1)</u>	169	A	\$ 36.5	3,937,506	I	By family (11)
Common Stock	12/12/2018	Â	<u>J(4)</u>	5,449	A	\$ 34.2	3,942,955	I	By family (12)
Common Stock	12/31/2018	Â	<u>J(1)</u>	3,921	A	\$ 32.41	3,946,876	I	By family (13)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of D Se B O E Is F (I
					(A) (D)	Date Exercisable Expiration Date	Title	Amount or Number of Shares	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GORMAN JAMES CARVELL THE GORMAN-RUPP COMPANY 600 SOUTH AIRPORT ROAD MANSFIELD, OH 44903	Â X	Â	Â Chairman	Â

Signatures

James C. Gorman BY: /s/Brigette A. Burnell
Attorney-in-Fact
02/08/2019

**Signature of Reporting Person
Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares acquired under GRC 401(k) Plan.

(2) Balance reflects distribution of cash equivalent of 770 common shares required to be made by internal revenue code due to reporting person being over age 70 1/2.

(3) Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes 3,231,042 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.

(4) Shares acquired through dividend reinvestment.

(5) Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes 3,231,377 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.

(6) Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes 3,231,628 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.

(7) Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes 3,231,924 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.

(8) Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes 3,232,051 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.

(9) Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes 3,232,451 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.

(10) Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes 3,232,722 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.

(11) Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes 3,232,891 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.

(12) Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes 3,238,340 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.

(13) Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes 3,242,261 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.

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