HOLDING FRANK B JR

Form 4 June 27, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287 January 31,

0.5

if no longer subject to

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

2005 Estimated average burden hours per

Expires:

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

OMB APPROVAL

Section 16. Form 4 or Form 5 obligations

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

FIRST CITIZENS BANCSHARES

Symbol

INC /DE/ [FCNCA]

See Instruction 1(b).

(Print or Type Responses)

HOLDING FRANK B JR

1. Name and Address of Reporting Person *

(Last) 4300 SIX I	(First) FORKS ROAD	(Mo	te of Earliest Transaction th/Day/Year) 7/2018 X DirectorX Officer (give till below) Chairm	_X 10% Owner tle Other (specify below) nan and CEO			
DALEIGH	(Street)		Month/Day/Year) Applicable Line) _X_ Form filed by One	nt/Group Filing(Check e Reporting Person re than One Reporting			
	, NC 27609		Person	<i>;</i>			
(City)	(State)	(Zip)	Cable I - Non-Derivative Securities Acquired, Disposed of, o	or Beneficially Owned			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Code (Instr. 3, 4 and 5) Beneficially For Owned Director Following or Reported (I)	wnership Indirect brm: Beneficial frect (D) Ownership Indirect (Instr. 4)			
Common Stock			311,051 D	As			
Class A Common Stock	06/07/2018		G V 1,200 D \$0 655,338 I	Co-Trustee for Frank B. Holding Revocable Trust			
Class A Common Stock	06/08/2018		G V 564 D \$0 654,774 I	As Co-Trustee for Frank B.			

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									Holding Revocable Trust
Class A Common Stock	06/11/2018	G	V	114,774	D	\$ 0	540,000	I	As Co-Trustee for Frank B. Holding Revocable Trust
Class A Common Stock	06/29/2018	G	V	540,000	D	\$ 0	0	I	As Co-Trustee for Frank B. Holding Revocable Trust
Class A Common Stock	06/25/2018	J <u>(1)</u>		34,265	A	\$ 0	34,265	I	As Co-Trustee for Frank B. Holding Revocable Trust
Class A Common Stock							11,140	I	As beneficiary of Trust
Class A Common Stock							8,525 <u>(2)</u>	I	By Spouse
Class A Common Stock							19,400 (2)	I	As custodian for B.P. Holding
Class A Common Stock							17,350 (2)	I	As custodian for L.R. Holding II
Class A Common Stock							11,228	I	By son, Lewis R. Holding II
Class B Common Stock							99,631	D	
Class B Common Stock	06/07/2018	G	V	395	D	\$0	0	I	As Co-Trustee for Frank B. Holding Revocable

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			Trust
Class B Common Stock	2,122	I	As beneficiary of Trust
Class B Common Stock	1,399 (2)	I	By spouse
Class B Common Stock	178 <u>(2)</u>	I	As custodian for F. B. Holding III
Class B Common Stock	13,524	I	As custodian for B. P. Holding
Class B Common Stock	10,707	I	As custodian for L.R. Holding II
Class B Common Stock	19,450 (2)	I	By son Lewis R. Holding II

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	5. stionNumber of Securitic Acquire (A) or Dispose of (D) (Instr. 3 4, and 5	(Month/Day ve es d	Pate	7. Title Amour Underl Securit (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)
				Code	V (A) (D	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
HOLDING FRANK B JR	X	X	Chairman and CEO					
4300 SIX FORKS ROAD RALEIGH, NC 27609	Λ	Λ	Chairman and CEO					

Signatures

Frank B. Holding, Jr., By: William R. Lathan, Jr.,
Attorney-in-fact
06/27/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Liquidating pro-rata distribution of shares held by ECHB, LLC.
- The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the (2) reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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