

HOLDING FRANK B JR
Form 4
June 27, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
HOLDING FRANK B JR

2. Issuer Name and Ticker or Trading Symbol
FIRST CITIZENS BANCSHARES INC /DE/ [FCNCA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
4300 SIX FORKS ROAD
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
06/07/2018

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman and CEO

RALEIGH, NC 27609

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock					311,051	D	
Class A Common Stock	06/07/2018		G	V 1,200	D \$ 0 655,338	I	As Co-Trustee for Frank B. Holding Revocable Trust
Class A Common Stock	06/08/2018		G	V 564	D \$ 0 654,774	I	As Co-Trustee for Frank B.

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Class A Common Stock	06/11/2018	G	V	114,774	D	\$ 0	540,000	I	Holding Revocable Trust As Co-Trustee for Frank B. Holding Revocable Trust
Class A Common Stock	06/29/2018	G	V	540,000	D	\$ 0	0	I	As Co-Trustee for Frank B. Holding Revocable Trust
Class A Common Stock	06/25/2018	<u>J</u> ⁽¹⁾		34,265	A	\$ 0	34,265	I	As Co-Trustee for Frank B. Holding Revocable Trust
Class A Common Stock							11,140	I	As beneficiary of Trust
Class A Common Stock							8,525 ⁽²⁾	I	By Spouse
Class A Common Stock							19,400 ⁽²⁾	I	As custodian for B.P. Holding
Class A Common Stock							17,350 ⁽²⁾	I	As custodian for L.R. Holding II
Class A Common Stock							11,228	I	By son, Lewis R. Holding II
Class B Common Stock							99,631	D	
Class B Common Stock	06/07/2018	G	V	395	D	\$ 0	0	I	As Co-Trustee for Frank B. Holding Revocable

Class B Common Stock	2,122	I	Trust As beneficiary of Trust
Class B Common Stock	1,399 ⁽²⁾	I	By spouse
Class B Common Stock	178 ⁽²⁾	I	As custodian for F. B. Holding III
Class B Common Stock	13,524	I	As custodian for B. P. Holding
Class B Common Stock	10,707	I	As custodian for L.R. Holding II
Class B Common Stock	19,450 ⁽²⁾	I	By son Lewis R. Holding II

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Benef Own Follo Repo Trans (Instr
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V	(A)	(D)

