Prevost Patrick M. Form 4 May 17, 2018

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington D.C. 20549

Washington, D.C. 20549
STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*\*
Prevost Patrick M.

2. Issuer Name **and** Ticker or Trading Symbol

Issuer

Sy C

CABOT CORP [CBT]

(Check all applicable)

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

(Last)

(First) (Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 05/16/2018

\_X\_ Director \_\_\_\_\_ 10% Owner \_\_\_\_\_ Officer (give title below) Other (specify below)

5. Relationship of Reporting Person(s) to

C/O CABOT CORPORATION, TWO SEAPORT

LANE, SUITE 1300

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person \_\_\_\_ Form filed by More than One Reporting

Person

BOSTON, MA 02210

(City)	(State)	(Zip) <b>Tab</b> l	le I - Non-I	Derivative	Secur	ities Acqu	uired, Disposed of	f, or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securit on(A) or Di (Instr. 3,	sposed 4 and : (A) or	of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/16/2018		Code V M	Amount 70,240	(D)	Price \$ 47.62	353,285	D	
Common Stock	05/16/2018		S	70,240	D	\$ 61.34 (1)	283,045	D	
Common Stock	05/16/2018		M	84,821	A	\$ 46.03	367,866	D	
Common Stock	05/16/2018		S	84,821	D	\$ 61.56 (2)	283,045	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 47.62	05/16/2018		M	70,240	(3)	10/15/2019	Common Stock	70,240
Employee Stock Option (Right to Buy)	\$ 46.03	05/16/2018		M	84,821	<u>(4)</u>	10/15/2019	Common Stock	84,821

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

Prevost Patrick M. C/O CABOT CORPORATION TWO SEAPORT LANE, SUITE 1300 BOSTON, MA 02210

X

### **Signatures**

By: Kristine L. Ouimet, pursuant to a power of attorney from Patrick M.

Prevost

05/17/2018

\*\*Signature of Reporting Person

Date

Reporting Owners 2

### **Explanation of Responses:**

a currently valid OMB number.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported is a weighted average sale price. These shares were sold in multiple transactions at prices ranging from \$61.10 to

  (1) \$61.56, inclusive. The reporting person undertakes to provide to the staff of the Securities and Exchange Commission, the Corporation or any security holder of the Corporation, upon request, full information regarding the number of shares sold at each separate price.
- The price reported is a weighted average sale price. These shares were sold in multiple transactions at prices ranging from \$61.27 to

  (2) \$61.95, inclusive. The reporting person undertakes to provide to the staff of the Securities and Exchange Commission, the Corporation or any security holder of the Corporation, upon request, full information regarding the number of shares sold at each separate price.
- (3) 72,440 shares were subject to the option. The option vested over a three year period as follows: 30% on November 8, 2014, 30% on November 8, 2015 and 40% on July 23, 2016.
- (4) 84,821 shares were subject to the option. The option vested as follows: 30% on November 14, 2015 and 70% on July 23, 2016.

  Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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