Nevels James E Form 4 May 17, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

Number:

Expires:

3235-0287 January 31,

2005

Estimated average

0.5

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Nevels James E

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(First) (Middle) (Last)

FIRST DATA CORP [FDC] 3. Date of Earliest Transaction

(Month/Day/Year)

05/16/2018

(Check all applicable)

C/O FIRST DATA **CORPORATION, 225 LIBERTY** 

STREET, 29TH FLOOR

X\_ Director 10% Owner Other (specify Officer (give title

(Street)

(State)

05/16/2018

(Zip)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

D

Applicable Line)

Filed(Month/Day/Year) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

NEW YORK, NY 10281

(City)

1.Title of Security (Month/Day/Year) 2A. Deemed 3. 4. Securities Acquired (Month/Day/Year) Execution Date, if any (Instr. 3) (Month/Day/Year) (Instr. 8) (Month/Day/Year) (Instr. 8) (A) Or Disposed of (D) Securities (D) or Beneficially (D) or Beneficial (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 3 and 4)						•	, •	ľ	•
(Instr. 3)  any (Month/Day/Year) (Instr. 8)  Code (Instr. 3, 4 and 5) Beneficially Owned Indirect (I) Ownership Following (Instr. 4) Reported Transaction(s)	1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities A	cquired	5. Amount of	6. Ownership	7. Nature of
(Month/Day/Year) (Instr. 8)  Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4)  Reported Transaction(s)	Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of (D)			Securities	Form: Direct	Indirect
Following (Instr. 4) (Instr. 4)  (A)  Or  Transaction(s)	(Instr. 3)		any	Code (Instr. 3, 4 and 5)			Beneficially	(D) or	Beneficial
(A) Reported Transaction(s)			(Month/Day/Year)	(Instr. 8)			Owned	Indirect (I)	Ownership
(A) Transaction(s)							Following	(Instr. 4)	(Instr. 4)
or							Reported		
or (Instr. 3 and 4)							Transaction(s)		
				~			(Instr. 3 and 4)		
Code V Amount (D) Price				Code V	Amount (D)	Price	· ·		
Class A	Class A					ф			

100

 $P^{(1)}$ 

Stock

Common

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

28,621

19.66

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Nevels James E - Form 4

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exerc		7. Titl		8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	ınt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)	Bene
	Derivative		•		Securities	3		(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						(2.11541
					4, and 5)						
					i, and 3)						
									Amount		
						Data	Evaluation		or		
						Date	Expiration	Title Nu	Number		
						Exercisable	Date		of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address

Director

Dire

## **Signatures**

/s/ Gretchen A. Herron, by power of attorney

05/17/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by Mr. Nevels on September 14, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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