Brice Carson H Form 4 March 21, 2018

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5 obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Brice Carson H

(First) (Middle)

PO BOX 1352

(Last)

SMITHFIELD, NC 27577

(Street)

2. Issuer Name and Ticker or Trading

Symbol

FIRST CITIZENS BANCSHARES INC /DE/ [FCNCA]

3. Date of Earliest Transaction (Month/Day/Year)

12/27/2013

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Issuer

(Check all applicable)

Director \_X\_\_ 10% Owner \_ Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

(City)	(State)	(Zip)	Table I - I	Non-Derivative Securit	ies Acquired, Dispose	d of, o	r Beneficially Owned
.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities	5. Amount of	6.	7. Nature of

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)		))	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Class A Common	12/27/2013		G	V	345	D	\$0	254,628	D		
Class A Common	01/09/2014		G	V	375	D	\$0	254,253	D		
Class A Common	12/18/2017		G	V	219	D	\$0	254,034	D		
Class A Common	01/17/2018		G	V	75	A	\$0	280	I	By spouse	
Class A Common	12/27/2013		G	V	115	A	\$0	3,905	I	As custodian for Stephen Brice	

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Class A Common	01/09/2014	G	V 125	A	\$ 0	4,030	I	As custodian for Stephen Brice
Class A Common	12/18/2017	G	V 73	A	\$ 0	4,103	I	As custodian for Stephen Brice
Class A Common	01/17/2018	G	V 75	A	\$0	4,178	I	As custodian for Stephen Brice
Class A Common	12/27/2013	G	V 115	A	\$ 0	3,305	I	As Custodian for Cordelia Brice
Class A Common	01/09/2014	G	V 125	A	\$ 0	3,430	I	As Custodian for Cordelia Brice
Class A Common	12/08/2017	G	V 73	A	\$ 0	3,503	I	As Custodian for Cordelia Brice
Class A Common	12/08/2017	G	V 75	A	\$ 0	3,578	I	As Custodian for Cordelia Brice
Class A Common	12/27/2013	G	V 115	A	\$ 0	3,305	I	As Custodian for Elizabeth Brice
Class A Common	01/09/2014	G	V 125	A	\$ 0	3,430	Ι	As Custodian for Elizabeth Brice
Class A Common	12/08/2017	G	V 73	A	\$0	3,503	I	As Custodian for Elizabeth Brice
Class A common	01/17/2018	G	V 75	A	\$0	3,578	I	As Custodian for Elizabeth Brice
Class A Common						10,652	I	As beneficiary of Trust
						72,800 (1)	I	

Class A Common									By ECHB, LLC
Class A Common	02/20/2018	G	V	5,100	A	\$0	54,786 (2)	I	Trusts f/b/o children
Class B Common	01/22/2018	G	V	80	A	\$0	108,640	D	
Class B Common	02/05/2018	G	V	116	A	\$0	108,756	D	
Class B Common							563	I	By spouse
Class B Common							2,347	I	As custodian for Stephen Brice
Class B Common							2,348	I	As custodian for Elizabeth Brice
Class B Common							2,348	I	As custodian for Cordelia Brice
Class B Common							1,250	I	As beneficiary of Trust
Class B Common							1,719	I (2)	Trusts f/b/o children

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title Derivati Security (Instr. 3	ive Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	S	ate	7. Title Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date		Amount or Number	

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

of Shares

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Brice Carson H
PO BOX 1352
SMITHFIELD, NC 27577

#### **Signatures**

Carson H. Brice, By: William R. Lathan, Jr., Attorney-in-fact

03/20/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - The listed shares are held by a limited liability company in which 100% of the voting interests are held by a trust for the benefit of the Reporting Person's children. The Reporting Person does not serve as trustee of the trust or have any interest in the limited liability
- (1) company, she disclaims beneficial ownership of the shares held by the limited liability company, and this report shall not be deemed an admission that the reporting person is the beneficial owner of the shares for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.
- The listed shares are held by three trusts for the benefit of the Reporting Person's children. The Reporting Person does not serve as trustee of or have or share investment control over the Issuer's shares held by the trusts, she disclaims beneficial ownership of the shares held by the trusts, and this report shall not be deemed an admission that the Reporting Person is the beneficial owner of the shares for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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