Anderson Charles A Form 4 February 02, 2018

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

**OMB APPROVAL** 

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Anderson Charles A			2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	Triumph Bancorp, Inc. [TBK]  3. Date of Earliest Transaction	(Check all applicable)			
12700 PARK CENTRAL DRIVE			(Month/Day/Year) 12/26/2017	X Director 10% Owner Officer (give title below) Other (specify below)			
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
DALLAS, TX 75251				Form filed by More than One Reporting Person			

(City)	(State)	Zip) Table	e I - Non	-De	erivative S	ecurit	ies Acq	uired, Disposed o	of, or Beneficial	ly Owned
1.Title of	2. Transaction Date	2A. Deemed	3.		4. Securiti	ies Ac	quired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	xecution Date, if Transact		action(A) or Disposed of			Securities	Form: Direct	Indirect
(Instr. 3)		any	Code		(D)			Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8	3)	(Instr. 3, 4 and 5)		Owned	Indirect (I)	Ownership	
						(D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price 11,000 D \$ 0		Following	(Instr. 4)	(Instr. 4)
						(A)		Reported		
								Transaction(s)		
			Code	V	Amount		Price	(Instr. 3 and 4)		
Common	12/26/2017		G(1)	V	11 000	D	٠.۵	170,726 (2)	D	
Stock	12/20/2017		<u>U </u>	V	11,000	ט	ъU	170,720 (-)	D	
Common Stock	01/31/2018		A		389 (3)	A	\$ 0 (3)	171,115 <u>(4)</u>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Anderson Charles A - Form 4

1.	Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	le and	8. Price of	9. Nu
D	erivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration D	ate	Amou	ınt of	Derivative	Deriv
S	ecurity	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(I	nstr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
		Derivative				Securities	3		(Instr.	3 and 4)		Owne
		Security				Acquired						Follo
		•				(A) or						Repo
						Disposed						Trans
						of (D)						(Instr
						(Instr. 3,						`
						4, and 5)						
						, ,						
										Amount		
							Date	Expiration		or		
							Exercisable	Date	Title	Number		
							Lacicisable	Date		of		
					Code V	(A) (D)				Shares		

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Anderson Charles A
12700 PARK CENTRAL DRIVE X
DALLAS, TX 75251

## **Signatures**

/s/ Adam D. Nelson, Attorney-in-fact 02/02/2018

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transaction reported involved a gift by the reporting person to a 501(c)(3) charitable organization.
- Consists of (i) 147,731 shares of common stock of Issuer beneficially owned by reporting person, (ii) 22,500 shares of common stock of (2) Issuer beneficially owned jointly with reporting person's spouse Kim Anderson, and (iii) 495 shares of restricted stock of the reporting person subject to future time vesting requirements.
- (3) Represents shares of common stock of Issuer granted to the reporting person under Issuer's 2014 Omnibus Incentive Plan. All of such shares were fully vested as of the date of grant.
- Consists of (i) 148,120 shares of common stock of Issuer beneficially owned by reporting person, (ii) 22,500 shares of common stock of (4) Issuer beneficially owned jointly with reporting person's spouse Kim Anderson, and (iii) 495 shares of restricted stock of the reporting person subject to future time vesting requirements.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2