Dr Pepper Snapple Group, Inc.

Form 4 July 29, 2016

## FORM 4

#### **OMB APPROVAL**

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

if no longer subject to Section 16. Form 4 or

Check this box

January 31, Expires: 2005

Form 5 obligations STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

2. Issuer Name and Ticker or Trading

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

07/28/2016

Stock

Trebilcock James R.			Symbol Dr Pepper Snapple Group, Inc. [DPS]				Is	Issuer  (Check all applicable)			
(Last) 5301 LEG	` ,	(Mor			ransaction			Director 10% Owner Specify Other (specify below) below)  Executive Vice President			
(Street) PLANO, TX 75024			Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line)  X_ Form filed by One Reporting Person  Form filed by More than One Reporting			
		(T' )					Pe	erson			
(City)	(State)	(Zip)	Tab	ole I - Non-l	Derivative	Secui	rities Acqui	red, Disposed of,	or Beneficiall	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transaction Code (Instr. 8)	4. Securiti nor Dispose (Instr. 3, 4	ed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	07/28/2018			M <u>(1)</u>	6,743	A	\$ 43.82	7,380	D		
Common Stock	07/28/2016			M(2)	8,275	A	\$ 51.68	15,655	D		
Common	07/28/2016			S	15.018	D	\$ 98,0006	637	D		

15,018 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

S

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

D

98.0006 637

(3)

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# displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transactiom Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exer Expiration D (Month/Day/	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 43.82	07/28/2016		M <u>(1)</u>	6,743	<u>(1)</u>	03/04/2023	Common Stock	6,743
Employee Stock Option	\$ 51.68	07/28/2016		M(2)	8,275	(2)	03/03/2024	Common Stock	8,275

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Trebilcock James R. 5301 LEGACY DR. PLANO, TX 75024

**Executive Vice President** 

## **Signatures**

Wayne R. Lewis, attorney o7/29/2016 in fact

\*\*Signature of Reporting Person Dat

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option was granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 and vests in three equal annual installments on each anniversary date of the grant commencing on March 4, 2014.
- (2) This option was granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 and vests in three equal annual installments on each anniversary date of the grant commencing on March 3, 2015.

Reporting Owners 2

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The price represents the weighted average sale price of the securities disposed of. The range of prices for the transaction is \$98.00-\$98.04.

(3) The reporting person shall provide upon request by the SEC, the issuer, or any security holder of the issuer, full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.