#### RPM INTERNATIONAL INC/DE/

Form 4 July 27, 2016

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

2. Issuer Name and Ticker or Trading

RPM INTERNATIONAL INC/DE/

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

Symbol

[DDM]

1(b).

(Print or Type Responses)

RICE RONALD A

1. Name and Address of Reporting Person \*

(Month/Day/Year) X_ Officer (give title Other	Owner er (specify							
2628 PEARL ROAD, P.O. BOX 777 07/25/2016 President and COO								
Filed(Month/Day/Year)  Applicable Line)  _X_ Form filed by One Reporting Personal Form filed by More than One Reporting	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting							
MEDINA, OH 44258  — Form fried by Wore than One Reporting Person								
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially	ly Owned							
(Instr. 3) any Code (D) Beneficially (D) or (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)							
Common Stock, \$0.01 par value A(1) 4,389 A \$ 0 340,088 D								
Common Stock, \$0.01 par value A(2) 30,000 A \$0 370,088 (3) D								
/I 6X I (+) I	By 401(k) Plan							

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exer	6. Date Exercisable and		7. Title and Amoun	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orDerivative	Expiration D	Expiration Date		Underlying Securiti	
Security	or Exercise		any	Code	Securities	curities (Month/Day/Year)		(Instr. 3 and 4)		
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A) o	r				
	Derivative				Disposed of (D	)				
	Security				(Instr. 3, 4, and					
					5)					
						Date	Expiration	Title	Amoi Numl	
				Code V	(A) (D	Exercisable	Date	11010	Share	
Stock								Common		
	\$ 50.99	07/25/2016		A	100,000	(5)	07/25/2026	Stock	100	
	1. Title of Derivative Security (Instr. 3)  Stock Appreciation Rights	Derivative Conversion Security or Exercise (Instr. 3) Price of Derivative Security  Stock Appreciation \$50.99	Derivative Conversion (Month/Day/Year) Security or Exercise (Instr. 3) Price of Derivative Security  Stock Appreciation \$50.99 07/25/2016	Derivative Conversion (Month/Day/Year) Execution Date, if Security or Exercise any (Month/Day/Year)  Price of (Month/Day/Year)  Derivative Security  Stock  Appreciation \$50.99 07/25/2016	Derivative Conversion (Month/Day/Year) Execution Date, if Transactic any Code (Instr. 3) Price of Derivative Security  Code V  Stock Appreciation \$50.99 07/25/2016  Conversion (Month/Day/Year) Execution Date, if Transactic any Code (Month/Day/Year) (Instr. 8)  Code V  A	Derivative Conversion (Month/Day/Year) Execution Date, if TransactionDerivative any Code Securities (Instr. 3) Price of Derivative Security (Month/Day/Year) (Instr. 8) Acquired (A) or Disposed of (D (Instr. 3, 4, and 5))  Code V (A) (D)  Stock Appreciation \$50.99 07/25/2016	Derivative Security or Exercise (Instr. 3) Price of Derivative Security  Security  Or Exercise (Instr. 3) Price of Derivative Security  Or Exercise (Month/Day/Year) (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)  Order V (A) (D)  Date Exercisable  Stock  Appreciation \$50.99 07/25/2016  A 100,000	Derivative Security or Exercise (Instr. 3) Price of Derivative Security  (Instr. 3) Price of Derivative Security  (Instr. 3) Price of Derivative Security  (Month/Day/Year) (Month/Day/Year)  (Month/Day/Year) (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)  (Instr. 4) Date Expiration Date (Month/Day/Year)  (Month/Day/Year) Date Expiration Date (Month/Day/Year)  (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)  (Instr. 3) Date Expiration Date (Month/Day/Year)  (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Derivative Security or Exercise (Month/Day/Year) Price of Derivative Security  Security or Exercise (Month/Day/Year) Price of Derivative Security  Security  Code Securities (Month/Day/Year) (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)  Code V (A) (D)  Date Expiration Date (Instr. 3 and Security)  Title  Stock  Appreciation \$50.99 07/25/2016  A 100,000  Exercisable Date  Common Stock	

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RICE RONALD A 2628 PEARL ROAD P.O. BOX 777 MEDINA, OH 44258

President and COO

## **Signatures**

/s/ Ronald A. Rice, by Gregory J. Dziak, his attorney-in-fact pursuant to Power of Attorney dated September 26, 2013 on file with the Commission

07/27/2016

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person was granted 4,389 shares of Common Stock, issued pursuant to the 2007 RPM International Inc. Restricted Stock
- (2) The reporting person was granted 30,000 shares of Common Stock, issued as Performance Earned Restricted Stock, pursuant to the RPM International Inc. 2014 Omnibus Equity and Incentive Plan.
  - Includes an aggregate of 14,786 shares of Common Stock issued pursuant to the 1997 RPM International Inc. Restricted Stock Plan, as amended, 105,455 shares of Common Stock issued pursuant to the 2007 RPM International Inc. Restricted Stock Plan, 87,500 shares of
- (3) Common Stock, issued as Performance Earned Restricted Stock, pursuant to the RPM International Inc. 2004 and 2014 Omnibus Equity Incentive Plans, and 40,000 shares of Common Stock, issued as Performance Contingent Restricted Stock, pursuant to the RPM International Inc. 2014 Omnibus Equity and Incentive Plan.

Reporting Owners 2

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- (4) Approximate number of shares held as of July 25, 2016 in the account of reporting person by Wachovia Bank, N.A., as Trustee of the RPM International Inc. 401(k) Trust and Plan, as amended.
- (5) The Stock Appreciation Rights vest in four equal installments, beginning on July 25, 2017.
- Stock Appreciation Rights granted pursuant to the RPM International Inc. 2004 and 2014 Omnibus Equity and Incentive Plans in exempt
- (6) transactions under Rule 16b-3. These Stock Appreciation Rights vest in four equal annual installments commencing one year after the date of grant. These Stock Appreciation Rights were granted between 2009 and 2016 and expire 10 years from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.