Intra-Cellular Therapies, Inc.

Form 3

November 16, 2015

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Davis Robert E

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

11/04/2015

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Intra-Cellular Therapies, Inc. [ITCI]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(give title below) (specify below)

SVP, Chief Scientific Officer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O INTRA-CELLULAR THERAPIES, INC., Â 430 EAST 29TH STREET

(Street)

Director \_X\_\_ Officer

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

NEW YORK. NYÂ 10016

(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

Form: Direct (D) or Indirect (I)

(Instr. 5)

Â

(Instr. 5) D

SEC 1473 (7-02)

Common Stock

25,000

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Conversion Ownership or Exercise Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Price of Derivative Derivative

Security:

## Edgar Filing: Intra-Cellular Therapies, Inc. - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	(1)	06/10/2020	Common Stock	5,000	\$ 2.74	D	Â
Stock Option (right to buy)	(1)	12/21/2020	Common Stock	5,000	\$ 2.74	D	Â
Stock Option (right to buy)	(1)	04/30/2022	Common Stock	5,000	\$ 2.84	D	Â
Stock Option (right to buy)	(1)	06/20/2023	Common Stock	5,000	\$ 3.26	D	Â
Stock Option (right to buy)	(1)	06/29/2024	Common Stock	75,000	\$ 16.86	D	Â
Stock Option (right to buy)	(2)	01/01/2025	Common Stock	25,571	\$ 17.57	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	

Davis Robert E

C/O INTRA-CELLULAR THERAPIES, INC. 430 EAST 29TH STREET NEW YORK, NYÂ 10016 Â Â Â SVP, Chief Scientific Officer Â

## **Signatures**

/s/ Lawrence J. Hineline, Attorney-in-fact

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All shares underlying this option have vested.
- (2) The option vests as to 100% of the shares on January 2, 2016.

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#### **Remarks:**

Exhibit 24.1 - Power of Attorney

The stock options reported on this Form 3 were previously granted to Dr. Davis for his services Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays

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