## Edgar Filing: WisdomTree Investments, Inc. - Form 4

WisdomTree Investments, Inc. Form 4 August 10, 2015 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Lavine Bruce I Issuer Symbol WisdomTree Investments, Inc. (Check all applicable) [WETF] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_ Director 10% Owner \_\_\_X\_\_ Other (specify Officer (give title (Month/Day/Year) below) below) C/O WISDOMTREE 08/06/2015 Vice Chairman (non-executive) **INVESTMENTS, INC., 245 PARK AVENUE, 35TH FLOOR** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting NEW YORK, NY 10167 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of 6. Indirect Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial anv (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported  $(\mathbf{I})$ (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) (D) Price Code V Amount Common 08/06/2015 \$ 0.7 D Μ 30,000 A 220,973 Stock Common 08/06/2015 S 10.000 D 210.973 D Stock 24.66 Common 08/07/2015 S 5,000 \$ 24.6 205,973 D D Stock Common 08/07/2015 S 5,000 D \$ 24.7 200,973 D Stock 25,000 I

Common Stock						By 2012 Bruce Lavine Irrevocable Trust		
Reminder: Report on a separate line for ea	ficially owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.				SEC 1474 (9-02)			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative2.3. Transaction (Month/Day/Y or ExerciseSecurityor Exercise Derivative Security	Date 3A. Deemed ear) Execution Date, if any (Month/Day/Year)	<ul> <li>4. 5. Number of TransactiorDerivative Code Securities</li> <li>(Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)</li> </ul>		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
Stock		Code V (A)	) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option \$ 0.7 08/06/201 (right to buy)	5	М	30,000	07/31/2015	01/25/2019	Common Stock	30,000	
Reporting Owners								
<b>Reporting Owner Name / Address</b> Director		· 10% Owner	Relationships 10% Owner Officer Other					
Lavine Bruce I C/O WISDOMTREE INVESTMENTS, INC. 245 PARK AVENUE, 35TH FLOOR NEW YORK, NY 10167			Vice Chairman (non-executi			utive)		
Signatures /s/ Marci Frankenthaler, Attorney-in-Fact	08/10/20	015						

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.