Triumph Bancorp, Inc. Form 3

# November 06, 2014

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Triumph Bancorp, Inc. [TBK] Sepulveda Carlos M (Month/Day/Year) 11/06/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 12700 PARK CENTRAL (Check all applicable) DRIVE, SUITE 1700 (Street) 6. Individual or Joint/Group 10% Owner \_X\_ Director \_X\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting **Executive Chairman** Person DALLAS, TXÂ 75251 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock (1) D 206,218 Common Stock 155,026  $D^{(2)}$ Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security		- · · · · · · · · · · · · · · · · · · ·	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		(Instr. 4)	Price of	Derivative	

#### Edgar Filing: Triumph Bancorp, Inc. - Form 3

Date **Expiration Title** Exercisable Date

Amount or Derivative Number of Security Shares

Security: Direct (D) or Indirect (I)

(Instr. 5)

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Sepulveda Carlos M 12700 PARK CENTRAL DRIVE **SUITE 1700** DALLAS, TXÂ 75251

ÂX Â

À Executive Chairman À

**Signatures** 

/s/Adam D. Nelson 11/06/2014 Attorney-in-fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Does not include 1,509,067 shares of common stock of issuer that are beneficially owned by Triumph Consolidated Cos., LLC (TCC).
- Reporting person is one of 7 directors of TCC and does not beneficially own 10% or more of TCC's outstanding capital stock. Reporting person disclaims beneficial ownership of the 1,509,067 shares held by TCC.
- (2) Jointly held with reporting person's spouse, Susan M. Sepulveda.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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