Dr Pepper Snapple Group, Inc.

Form 4

October 30, 2014

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

Dr Pepper Snapple Group, Inc.

3. Date of Earliest Transaction

(Month/Day/Year)

10/28/2014

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* Johnston James J. JR

(First) (Middle)

5301 LEGACY DRIVE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

Symbol

[DPS]

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading

Issuer

(Check all applicable)

Director 10% Owner Other (specify \_X\_\_ Officer (give title below)

**Executive Vice President** 

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

PLANO, TX 75024

(City)	(State)	(Zip) Tab	ole I - Non-	<b>Derivative</b>	Secu	rities Acquii	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactior Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
<b>C</b>			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	10/28/2014		M	42,918	A	\$ 31.5	128,815	D	
Common Stock	04/04/2014		J <u>(1)</u>	510	A	\$ 0 (1)	129,325	D	
Common Stock	07/03/2014		<u>J(1)</u>	472	A	\$ 0 (1)	129,797	D	
Common Stock	10/03/2014		<u>J(1)</u>	439	A	\$ 0 (1)	130,236	D	
Common Stock	10/28/2014		S	42,918	D	\$ 67.8796 (2)	87,318	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (RIght to	\$ 31.5	10/28/2014		M	42,918	(3)	03/02/2020	Common Stock	42,918

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Johnston James J. JR 5301 LEGACY DRIVE PLANO, TX 75024

**Executive Vice President** 

# **Signatures**

Buy)

Wayne R. Lewis, attorney in fact 10/30/2014

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are dividend equivalent payments made under the Issuer's Dividend Reinvestment Plan with respect to the shares beneficially owned by the reporting person.
- The price represents the weighted average sale price of the securities disposed of. The range of prices for the transaction is (2) \$67.77-\$67.8796. The reporting person shall provide upon request by the SEC, the issuer, or any security holder of the issuer, full information regarding the number of shares sold at each separate price.

**(3)** 

Reporting Owners 2

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This option was granted on March 2, 2010 pursuant to Issuer's Omnibus Stock Incentive Plan of 2009 and vests in three annual installments on each anniversary date of the award and is fully vested.

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