#### RPM INTERNATIONAL INC/DE/

Form 4 July 22, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

subject to Section 16. Form 4 or Form 5 obligations

if no longer

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * SMILEY KEITH R			2. Issuer Name and Ticker or Trading Symbol RPM INTERNATIONAL INC/DE/ [RPM]						5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last) (First) (Middle)  2628 PEARL ROAD, P.O. BOX 777			3. Date of Earliest Transaction (Month/Day/Year) 07/18/2014						Director 10% Owner Selfont Officer (give title Other (specify below) the controller VP-Finance & Controller		
F				4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting		
MEDINA, OH 44258  (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owner.											
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year) Execution Date any (Month/Day/		med on Date, if	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or				cquired d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of
Common Stock, \$0.01 par value	07/18/2014			Code \( \frac{1}{2} \)	V	Amount 1,968	(D)	Price \$ 44.79	86,171	D	
Common Stock, \$0.01 par value	07/21/2014			A(2)		1,562	A	\$ 0	87,733	D	
Common Stock, \$0.01 par value	07/21/2014			A(3)		7,200	A	\$ 0	94,933 (4)	D	

#### Edgar Filing: RPM INTERNATIONAL INC/DE/ - Form 4

Common Stock, \$0.01 par value

 $3,740^{(5)}$ 

By 401(k)

I

Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise Price of (Instr. 3) Derivative Security

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)

4. 5. TransactionNumber Code of (Instr. 8)

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of 8. **Underlying Securities** (Instr. 3 and 4)

Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)

> Date Exercisable

> > (8)

Expiration Date

Title

or Number of Shares

8,000

Amount

(I

**Stock Option** (Right to

Appreciation

Buy) Stock

Rights

<u>(6)</u>

<u>(6)</u>

Code V (A) (D)

(7) 10/29/2014

10/05/2015

Common Stock

Common

8,000 Stock

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

Other

SMILEY KEITH R 2628 PEARL ROAD P.O. BOX 777 MEDINA, OH 44258

VP-Finance & Controller

### **Signatures**

/s/ Keith R. Smiley, by Gregory J. Dziak, his attorney-in-fact pursuant to Power of Attorney dated April 4, 2012 on file with the Commission

07/22/2014

Reporting Owners 2 \*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On July 18, 2014, 6,000 shares of Common Stock issued to the reporting person as Performance Earned Restricted Stock pursuant to the RPM International Inc. 2004 Omnibus Equity and Incentive Plan (the "Plan") vested. In accordance with the Plan, the reporting person disposed of 1,968 shares of Common Stock back to the Issuer to satisfy tax obligations of the reporting person.
- (2) The reporting person was granted 1,562 shares of Common Stock, issued pursuant to the 2007 RPM International Inc. Restricted Stock Plan.
- (3) The reporting person was granted 7,200 shares of Common Stock, issued as Performance Earned Restricted Stock, pursuant to the RPM International Inc. 2004 Omnibus Equity and Incentive Plan.
- Includes an aggregate of 7,701 shares of Common Stock issued pursuant to the 1997 RPM International Inc. Restricted Stock Plan, as amended, 23,132 shares of Common Stock issued pursuant to the 2007 RPM International Inc. Restricted Stock Plan, and 22,200 shares of Common Stock, issued as Performance Earned Restricted Stock, pursuant to the RPM International Inc. 2004 Omnibus Equity and Incentive Plan
- (5) Approximate number of shares held as of July 21, 2014 in the account of reporting person by Wachovia Bank, N.A., as Trustee of the RPM International Inc. 401(k) Trust and Plan, as amended.
- (6) No transaction is being reported on this line. Reported on a previously filed Form 3 or Form 4.
- Granted pursuant to the RPM International Inc. 1996 Key Employees Stock Option Plan, as amended in an exempt transaction under Rule (7) 16b-3. These options vest and become exercisable in 25% increments over four years commencing one year after the date of grant. These options were granted on October 29, 2004 and expire 10 years from the date of grant.
- Stock Appreciation Rights granted pursuant to the RPM International Inc. 2004 Omnibus Equity and Incentive Plan in an exempt (8) transaction under Rule 16b-3. These Stock Appreciation Rights vest in four equal installments commencing one year after the date of grant. These Stock Appreciation Rights were granted in 2005 and expire 10 years from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3

Date