

UNIVERSAL ELECTRONICS INC

Form 4/A

August 15, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Kopaskie Mark S

2. Issuer Name and Ticker or Trading Symbol  
UNIVERSAL ELECTRONICS INC [UEIC]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)  
201 E. SANDPOINTE AVENUE, SUITE 800  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
05/17/2013

\_\_\_\_ Director  
 Officer (give title below)  
\_\_\_\_ 10% Owner  
\_\_\_\_ Other (specify below)  
EVP

SANTA ANA, CA 92707-6708

4. If Amendment, Date Original Filed(Month/Day/Year)  
05/24/2013

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				Code	V	Amount or Price			
Common Stock	05/17/2013	05/17/2013	M		300	A \$ 16.25	28,669	D	
Common Stock	05/17/2013	05/17/2013	S		300	D \$ 26.7033	28,369	D	
Common Stock	05/20/2013	05/20/2013	M		2,858	A \$ 16.25	31,227	D	
Common Stock	05/20/2013	05/20/2013	S		2,858	D \$ 26.2538	28,369	D	
Common Stock	05/21/2013	05/21/2013	M		2,937	A \$ 16.25	31,306	D	

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Common Stock	05/21/2013	05/21/2013	S	2,937	D	\$ 26.16	28,369	D
Common Stock	05/22/2013	05/22/2013	M	5,000	A	\$ 16.25	33,369	D
Common Stock	05/22/2013	05/22/2013	S	5,000	D	\$ 26.5347	28,369	D
Common Stock	05/22/2013	05/22/2013	M	6,105	A	\$ 16.25	34,474	D
Common Stock	05/22/2013	05/22/2013	S	6,105	D	\$ 26.6094	28,369	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
				Code	V (A) (D)				
Employee Stock Option (Rt to Buy)	\$ 16.25 <u>(1)</u>	05/17/2013	05/17/2013	M	300	06/10/2011	03/10/2019	Common Stock	300
Employee Stock Option (Rt to Buy)	\$ 16.25 <u>(1)</u>	05/20/2013	05/20/2013	M	2,858	06/10/2011	03/10/2019	Common Stock	2,858
Employee Stock Option (Rt to Buy)	\$ 16.25 <u>(1)</u>	05/21/2013	05/21/2013	M	2,937	09/10/2011	03/10/2019	Common Stock	2,937
Employee Stock	\$ 16.25 <u>(1)</u>	05/22/2013	05/22/2013	M	5,000	03/10/2012	03/10/2019	Common Stock	5,000

Option (Rt  
to Buy)

Employee

Stock	\$ 16.25	05/22/2013	05/22/2013	M	6,105	09/10/2012	03/10/2019	Common Stock	6,105
Option (Rt to Buy)	<u>(1)</u>								

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director 10% Owner Officer Other

Kopaskie Mark S  
201 E. SANDPOINTE AVENUE  
SUITE 800  
SANTA ANA, CA 92707-6708

EVP

## Signatures

/s/Mark S. Kopaskie, by Richard A. Firehammer, Jr., pursuant to Limited Power of Attorney  
dated February 25, 2008 (attached)

08/15/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise Price determined in accordance with the terms of the Company's applicable Stock Incentive Plan.
- (2) Correction on misreported Derivative Securities Beneficially Owned on previous Form 4 filing due to a mathematical error.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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