

FAIR ISAAC CORP
Form 4
August 06, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Jennings Andrew

(Last) (First) (Middle)
181 METRO DRIVE
(Street)

SAN JOSE, CA 95110

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
FAIR ISAAC CORP [FICO]

3. Date of Earliest Transaction
(Month/Day/Year)
08/05/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Sr. Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Code V Amount (D) Price		
Common Stock	08/05/2013		M	A	2,428 \$ 34.26	I	The 2010 Jennings Family Revocable Trust
Common Stock	08/05/2013		M	A	9,375 \$ 14.16	I	The 2010 Jennings Family Revocable Trust
Common Stock	08/05/2013		M	A	3,126 \$ 35.99	I	The 2010 Jennings

Edgar Filing: FAIR ISAAC CORP - Form 4

Common Stock	08/05/2013	M	6,250	A	\$ 24.03	32,203.0006	I	Family Revocable Trust The 2010 Jennings Family Revocable Trust
Common Stock	08/05/2013	M	9,375	A	\$ 20.31	41,578.0006	I	The 2010 Jennings Family Revocable Trust
Common Stock	08/05/2013	S	30,554	D	\$ <u>52.0622</u> <u>(1)</u>	<u>11,023.9965</u> <u>(2)</u>	I	The 2010 Jennings Family Revocable Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
Non-Qualified Stock Option (Right to buy)	\$ 34.26	08/05/2013		M	2,428	12/18/2008 ⁽³⁾	12/17/2014	Common Stock
Non-Qualified Stock Option (Right to buy)	\$ 14.16	08/05/2013		M	9,375	12/18/2009 ⁽³⁾	12/17/2015	Common Stock
	\$ 35.99	08/05/2013		M	3,126	12/13/2012 ⁽³⁾	12/12/2018	

Non-Qualified Stock Option (Right to buy)								Common Stock
Non-Qualified Stock Option (Right to buy)	\$ 24.03	08/05/2013	M	6,250	12/13/2011 ⁽³⁾	12/12/2017		Common Stock
Non-Qualified Stock Option (Right to buy)	\$ 20.31	08/05/2013	M	9,375	12/18/2010 ⁽³⁾	12/17/2016		Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Jennings Andrew 181 METRO DRIVE SAN JOSE, CA 95110			Sr. Vice President	

Signatures

/s/Nancy E. Fraser,
Attorney-in-fact

08/06/2013

 Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This transaction was executed in multiple trades at prices ranging from \$52.00 to \$52.65. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.

(2) Common stock holdings includes adjustment of ESPP reinvested dividends.

(3) This option vests in four equal annual installments commencing on this date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.