Kochek Joshua J. Form 3 March 20, 2013

### FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Kochek Joshua J.

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

03/20/2013

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

AVIV REIT, INC. [AVIV]

(Check all applicable)

Vice President, Investments

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O AVIV REIT, INC., 303 W. MADISON, Â SUITE 2400

(Street)

Director \_X\_\_ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

CHICAGO, ILÂ 60606

(City) (State) (Zip)

1. Title of Security (Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership

Form: Direct (D) 4. Nature of Indirect Beneficial Ownership

(Instr. 5)

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Expiration Exercisable

Title

Amount or

Price of Derivative Security

Security: Direct (D) or Indirect

Number of Date Shares

(I)

1

				(1	nstr. 5)	
(1)	09/17/2020	Common Stock	22,155	\$ 16.5645	D	Â
(2)	09/17/2020	Common Stock	44,251	\$ 16.5645	D	Â
(3)	09/30/2020	Common Stock	482	\$ 17.9548	D	Â
(2)	09/30/2020	Common Stock	965	\$ 17.9548	D	Â
(4)	01/04/2021	Common Stock	482	\$ 18.6222	D	Â
(2)	01/04/2021	Common Stock	965	\$ 18.6222	D	Â
(5)	10/28/2021	Common Stock	1,207	\$ 18.8658	D	Â
(2)	10/28/2021	Common Stock	2,414	\$ 18.8658	D	Â
(6)	02/10/2022	Common Stock	26,804	\$ 18.8658	D	Â
(2)	02/10/2022	Common Stock	53,608	\$ 18.8658	D	Â
(7)	03/28/2022	Common Stock	3,803	\$ 18.6543	D	Â
(2)	03/28/2022	Common Stock	7,606	\$ 18.6543	D	Â
(8)	07/24/2022	Common Stock	3,199	\$ 18.6505	D	Â
(2)	07/24/2022	Common Stock	6,459	\$ 18.6505	D	Â
	(2) (3) (2) (4) (2) (5) (6) (2) (6) (2) (7) (2) (8)	(2) 09/17/2020 (3) 09/30/2020 (2) 09/30/2020 (4) 01/04/2021 (2) 01/04/2021 (5) 10/28/2021 (6) 02/10/2022 (7) 03/28/2022 (2) 03/28/2022 (8) 07/24/2022	A      (1)      09/17/2020      Stock        Â      (2)      09/30/2020      Common Stock        Â      (3)      09/30/2020      Common Stock        Â      (2)      09/30/2020      Common Stock        Â      (4)      01/04/2021      Common Stock        Â      (2)      01/04/2021      Common Stock        Â      (5)      10/28/2021      Common Stock        Â      (2)      10/28/2021      Common Stock        Â      (2)      02/10/2022      Common Stock        Â      (2)      03/28/2022      Common Stock        Â      (2)      03/28/2022      Common Stock        Â      (3)      03/28/2022      Common Stock        Â      (2)      03/28/2022      Common Stock        Â      (2)      07/24/2022      Common Stock        Â      (2)      07/24/2022      Common Stock	Â      (2)      09/17/2020      Stock      22,155        Â      (2)      09/17/2020      Common Stock      44,251        Â      (3)      09/30/2020      Common Stock      482        Â      (2)      09/30/2020      Common Stock      965        Â      (4)      01/04/2021      Common Stock      965        Â      (2)      01/04/2021      Common Stock      1,207        Â      (5)      10/28/2021      Common Stock      2,414        Â      (2)      10/28/2021      Common Stock      26,804        Â      (2)      02/10/2022      Common Stock      53,608        Â      (2)      03/28/2022      Common Stock      3,803        Â      (2)      03/28/2022      Common Stock      7,606        Â      (3)      07/24/2022      Common Stock      3,199        Â      (2)      07/24/2022      Common Stock      6,459	Â      (1)      09/17/2020      Common Stock      22,155      \$ 16.5645        Â      (2)      09/17/2020      Common Stock      44,251      \$ 16.5645        Â      (3)      09/30/2020      Common Stock      482      \$ 17.9548        Â      (2)      09/30/2020      Common Stock      965      \$ 17.9548        Â      (4)      01/04/2021      Common Stock      482      \$ 18.6222        Â      (2)      01/04/2021      Common Stock      965      \$ 18.6222        Â      (5)      10/28/2021      Common Stock      1,207      \$ 18.8658        Â      (2)      10/28/2021      Common Stock      2,414      \$ 18.8658        Â      (2)      02/10/2022      Common Stock      26,804      \$ 18.8658        Â      (2)      02/10/2022      Common Stock      3,608      \$ 18.6543        Â      (2)      03/28/2022      Common Stock      7,606      \$ 18.6543        Â      (3)      07/24/2022      Common Stock      3,199      \$ 18.6505        Â      (2)      07/24/2022      Common Stock      3,199      \$ 18.6505	Â      ①      09/17/2020      Stock      22,155      \$ 16.5645      D        Â      ②      09/17/2020      Common Stock      44,251      \$ 16.5645      D        Â      ③      09/30/2020      Common Stock      482      \$ 17.9548      D        Â      ②      09/30/2020      Common Stock      965      \$ 17.9548      D        Â      ④      01/04/2021      Common Stock      482      \$ 18.6222      D        Â      ②      01/04/2021      Common Stock      965      \$ 18.6222      D        Â      ③      01/04/2021      Common Stock      1,207      \$ 18.8658      D        Â      ③      10/28/2021      Common Stock      2,414      \$ 18.8658      D        Â      ④      02/10/2022      Common Stock      26,804      \$ 18.8658      D        Â      ②      02/10/2022      Common Stock      33,608      \$ 18.8658      D        Â      ②      03/28/2022      Common Stock      3,803      \$ 18.6543      D        Â      ③      03/28/2022      Common Stock      3,199      \$ 18.6505      D     <

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
- topo only o made i made i i	Director	10% Owner	Officer	Other	
Kochek Joshua J. C/O AVIV REIT, INC., 303 W. MADISON SUITE 2400 CHICAGO, IL 60606	Â	Â	Vice President, Investments	Â	

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# **Signatures**

/s/ Samuel H. Kovitz, as attorney-in-fact

03/20/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These options vest in 25% increments on each of the first four anniversaries of September 17, 2010 and shall vest in full upon a liquidity event, including the initial public offering of the common stock of Aviv REIT, Inc. (the "IPO").
- (2) These options vest upon a liquidity event, including the IPO, if certain performance thresholds are achieved.
- (3) These options vest in 25% increments on each of the first four anniversaries of September 30, 2010 and shall vest in full upon a liquidity event, including the IPO.
- (4) These options vest in 25% increments on each of the first four anniversaries of January 4, 2011 and shall vest in full upon a liquidity event, including the IPO.
- (5) These options vest in 25% increments on each of the first four anniversaries of October 28, 2011 and shall vest in full upon a liquidity event, including the IPO.
- (6) These options vest in 25% increments on each of the first four anniversaries of February 10, 2012 and shall vest in full upon a liquidity event, including the IPO.
- (7) These options vest in 25% increments on each of the first four anniversaries of March 28, 2012 and shall vest in full upon a liquidity event, including the IPO.
- (8) These options vest in 25% increments on each of the first four anniversaries of July 24, 2012 and shall vest in full upon a liquidity event, including the IPO.

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#### **Remarks:**

#### Exhibit List: Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3