Edgar Filing: CHART INDUSTRIES INC - Form 4

| CHART IN Form 4 February 26 | DUSTRIES INC | | | | | | | | | | |
|---|--|--|----------|----------------------------|--------------|--|--|--|--|--|--|
| | | | | | | | | | OMB | APPROVAL | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | | NOMB Number: | 3235-0287 | |
| Check the check | lger | | | | | | | | Expires: | January 31, | |
| subject to STATEMENT OF Section 16. Form 4 or | | | | SECUI | RITIES | | | | Estimate burden h response | • | |
| obligation may cor See Instr 1(b). | ons Section 17(| a) of the l | Public U | | lding Co | mpar | iy Act o | ge Act of 1934, f 1935 or Sectio 40 | on | | |
| (Print or Type | Responses) | | | | | | | | | | |
| 1. Name and A BIEHL MI | 2. Issuer Name and Ticker or Trading Symbol CHART INDUSTRIES INC [GTLS] | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | | |
| (Last) | (First) (| Middle) | | of Earliest T | | - | [OTL5] | (Che | ck all applica | ible) | |
| (1 | | | | Day/Year) 2013 | ransaction | I | | Director 10% Owner X Officer (give title Other (specify below) below) below) Executive VP, CFO & Treasurer | | | |
| Fi | | | | endment, D onth/Day/Yea | - | al | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| GARFIELI | D HEIGHTS, OH | 44125 | | | | | | Person | | | |
| (City) | (State) | (Zip) | Tab | ole I - Non- | Derivativ | e Secu | rities Aco | quired, Disposed o | of, or Benefic | cially Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) ny/Year) (Instr. 8) (A) or | | | | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | OwnershipIndirectForm:BeneficialDirect (D)Ownershipor Indirect(Instr. 4)I) | | |
| Common Stock, par value \$0.01 per share | 02/22/2013 | | | F | 1,009 (1) | D | \$ 68.46 | 13,134 <u>(2)</u> | D | | |
| Common Stock, par value 0.01 per share (3) | | | | | | | | 15,500 | I | By spouse as Trustee for the Eileen M. Biehl Declaration of Trust (4) | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|---|---------------------|--------------------|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Buy) (3) | \$ 11 | | | | | (5) | 01/02/2019 | Common stock | 2,438 |
| Stock Option (Right to Buy) (3) | \$ 17.03 | | | | | (6) | 01/04/2020 | Common Stock | 5,500 |
| Stock Option (Right to Buy) (3) | \$ 36.45 | | | | | (7) | 01/03/2021 | Common Stock | 5,430 |
| Stock Option (Right to Buy) (<u>3)</u> | \$ 55.93 | | | | | (8) | 01/03/2022 | Common Stock | 5,840 |
| Restricted Stock Unit (3) | \$ 0 | | | | | <u>(9)</u> | 01/03/2015 | Common Stock | 2,410 |
| Stock Option (Right to Buy) (3) | \$ 68.21 | | | | | (10) | 01/02/2023 | Common Stock | 4,800 |
| Restricted | \$ 0 | | | | | (11) | 01/02/2016 | Common | 2,870 |

8. Pri Deriv Secur (Instr Stock Unit (3)

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer

BIEHL MICHAEL F ONE INFINITY CORPORATE CENTRE DRIVE GARFIELD HEIGHTS, OH 44125

Signatures

/s/ Michael F. Biehl 02/26/2013 <u>**</u>Signature of Date Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person surrendered 1,009 shares upon vesting of 1/3 of the reporting person's February 22, 2010 restricted stock award, to satisfy tax withholding liabilities, in an exempt transaction under Rule 16b-3.

947 shares of the total amount beneficially owned were granted on January 3, 2011, pursuant to a restricted stock award agreement

- (2) under the Chart Industries, Inc. 2009 Omnibus Equity Plan in an exempt transaction under Rule 16b-3 and remain subject to restriction. The restricted stock awards vest in equal installments on each of the first three anniversaries of the date of grant.
- (3) No transaction is being reported on this line. Reported on a previously filed Form 4 or Form 5.
- (4) The reporting person disclaims beneficial ownership of these securities, and the filing of this report is not an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

These options were granted on January 2, 2009 pursuant to the Chart Industries, Inc. Amended and Restated 2005 Stock Incentive Plan
(5) in an exempt transaction under Rule 16b-3. The options vest with respect to one-fourth (1/4) of the total number of shares of common stock underlying the stock options on each of the first four anniversaries of the date of grant.

These options were granted on January 4, 2010 pursuant to the Chart Industries, Inc. 2009 Omnibus Equity Plan in an exempt
 transaction under Rule 16b-3. The options vest with respect to one-fourth (1/4) of the total number of shares of common stock underlying the stock options on each of the first four anniversaries of the date of grant.

- These options were granted on January 3, 2011 pursuant to the Chart Industries, Inc. 2009 Omnibus Equity Plan in an exempt
 transaction under Rule 16b-3. The options vest with respect to one-fourth (1/4) of the total number of shares of common stock underlying the stock options on each of the first four anniversaries of the date of grant.
- These options were granted on January 3, 2012 pursuant to the Chart Industries, Inc. 2009 Omnibus Equity Plan in an exempt transaction under Rule 16b-3. The options vest with respect to one-fourth (1/4) of the total number of shares of common stock underlying the stock options on each of the first four anniversaries of the date of grant.

These securities, which are referred to by the Company as Leveraged Restricted Share Units (LRSUs), were granted on January 3, 2012 pursuant to a Leveraged Restricted Share Unit Agreement under the Chart Industries, Inc. 2009 Omnibus Equity Plan in an exempt

- (9) transaction under Rule 16b-3. Shares of Company common stock will be issued following the vesting of the LRSUs on the third anniversary of the date of grant. The minimum number of shares of Company common stock that will be issued at payment is 50% of the grant amount of LRSUs, and the maximum number of shares of Company common stock that will be issued at payment is 150% of the grant amount of LRSUs.
- (10) These options were granted on January 2, 2013 pursuant to the Chart Industries, Inc. Amended and Restated 2009 Omnibus Equity Plan(10) in an exempt transaction under Rule 16b-3. The options vest with respect to one-fourth (1/4) of the total number of shares of common stock underlying the stock options on each of the first four anniversaries of the date of grant.

Reporting Owners

Relationships

Executive VP, CFO & Treasurer

Other

Stock

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These securities, which are referred to by the Company as Leveraged Restricted Share Units (LRSUs), were granted on January 2, 2013 pursuant to a Leveraged Restricted Share Unit Agreement under the Chart Industries, Inc. Amended and Restated 2009 Omnibus Equity

(11) Plan in an exempt transaction under Rule 16b-3. Shares of Company common stock will be issued following the vesting of the LRSUs on the third anniversary of the date of grant. The minimum number of shares of Company common stock that will be issued at payment is 50% of the grant amount of LRSUs, and the maximum number of shares of Company common stock that will be issued at payment is 150% of the grant amount of LRSUs.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.