

ASSURANT INC
Form 4
November 20, 2006

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
POLLOCK ROBERT B

(Last) (First) (Middle)

**ONE CHASE MANHATTAN
PLAZA, 41ST FLOOR**

(Street)

NEW YORK, NY 10005

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

ASSURANT INC [AIZ]

3. Date of Earliest Transaction
(Month/Day/Year)

11/16/2006

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

President, CEO, Director

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/16/2006		M	V Amount (A) or (D) Price 3,119.46 A \$ 21.56	36,134.304	D	
Common Stock	11/16/2006		D	1,223.27 D \$ 54.98	34,911.034	D	
Common Stock	11/16/2006		F	906.38 D \$ 54.98	34,004.654	D	
Common Stock	11/16/2006		M	1,827.39 A \$ 36.74	35,832.044	D	
Common Stock	11/16/2006		D	1,221.14 D \$ 54.98	34,610.904	D	

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Common Stock	11/16/2006	F	289.79	D	\$ 54.98	34,321.114	D	
Common Stock	11/16/2006	M	65,168.18	A	\$ 22	99,489.294	D	
Common Stock	11/16/2006	D	26,076.76	D	\$ 54.98	73,412.534	D	
Common Stock	11/16/2006	F	18,685.7	D	\$ 54.98	54,726.834 (1) (2)	D	
Common Stock						10,534.013 (3)	I	By 401 (k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Security (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount
Stock Appreciation Right	\$ 36.74	11/16/2006		M	1,827.39	02/04/2005	01/01/2009	Common Stock	
Stock Appreciation Right	\$ 21.56	11/16/2006		M	3,119.46	02/04/2005	01/01/2009	Common Stock	
Stock Appreciation Right	\$ 22	11/16/2006		M	65,168.18	02/04/2005	01/01/2009	Common Stock	6

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
POLLOCK ROBERT B ONE CHASE MANHATTAN PLAZA 41ST FLOOR	X		President, CEO, Director	

NEW YORK, NY 10005

Signatures

Lisa Richter
Attorney-in-Fact

11/20/2006

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes a total of 21,712 shares, net of tax withholding, (rounded to the nearest whole share) granted to Reporting Person pursuant to exercise of these SARs.
- (2) Includes 189.093 shares acquired under the Assurant, Inc. Amended and Restated 2004 Employee Stock Purchase Plan on June 30, 2006.
- (3) Between May 26, 2004 and September 30, 2006, the reporting person acquired 10,534.013 shares of Assurant. Inc. common stock under the Assurant, Inc. 401 (k) Plan. The information in this report is based on a plan statement dated as of September 30, 2006.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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