

CAPITAL SENIOR LIVING CORP

Form 4

November 09, 2006

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
HARTBERG CRAIG F

2. Issuer Name **and** Ticker or Trading
Symbol

**CAPITAL SENIOR LIVING CORP
[CSU]**

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)

11/08/2006

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

14160 DALLAS
PARKWAY, SUITE 300

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

DALLAS, TX 75254

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	11/08/2006		M		3,000	A	\$ 3.7	4,500		D
Common Stock	11/08/2006		S ⁽¹⁾		3,000	D	\$ 9.56	1,500		D
Common Stock	11/08/2006		M		3,000	A	\$ 4.85	4,500		D
Common Stock	11/08/2006		S ⁽¹⁾		3,000	D	\$ 9.56	1,500		D
Common Stock	11/08/2006		M		3,000	A	\$ 5.9	4,500		D

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Common Stock	11/08/2006	S ⁽¹⁾	3,000	D	\$ 9.56	1,500	D
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secur (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Common Stock Option (right to purchase)	\$ 3.7	11/08/2006		M	3,000	(2)	(3)	Common Stock	3,000	(4)
Common Stock Option (right to purchase)	\$ 4.85	11/08/2006		M	3,000	(5)	(6)	Common Stock	3,000	(4)
Common Stock Option (right to purchase)	\$ 5.9	11/08/2006		M	3,000	(7)	(8)	Common Stock	3,000	(4)

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
HARTBERG CRAIG F 14160 DALLAS PARKWAY SUITE 300	X

DALLAS, TX 75254

Signatures

/s/ Craig F.

11/09/2006

Hartberg

Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sold pursuant to Rule 10b5-1 trading plan adopted by the reporting person on June 19, 2006.
- (2) The options vested on May 22, 2003.
- (3) The options expire on May 18, 2012.
- (4) Granted pursuant to the Capital Senior Living Corporation 1997 Omnibus Stock and Incentive Plan.
- (5) The options vested on May 10, 2005.
- (6) The options expire on May 19, 2014.
- (7) The options vested on May 9, 2006.
- (8) The options expire on May 10, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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