#### Edgar Filing: UNIVERSAL TECHNICAL INSTITUTE INC - Form 4/A

#### UNIVERSAL TECHNICAL INSTITUTE INC

Form 4/A October 05, 2005

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

Issuer

**OMB APPROVAL** 

Estimated average

burden hours per

Expires:

response...

5. Relationship of Reporting Person(s) to

3235-0287

January 31,

2005

0.5

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to **SECURITIES** Section 16. Form 4 or Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

Symbol

1(b).

(Print or Type Responses)

HARTMAN ROBERT D

1. Name and Address of Reporting Person \*

obligations

				UNIVERSAL TECHNICAL INSTITUTE INC [UTI]						(Check all applicable)			
(Last) (First) (Middle) 20410 NORTH 19TH AVENUE				3. Date of Earliest Transaction (Month/Day/Year) 09/16/2005						X_ Director 10% Owner _X_ Officer (give title Other (specify below) Chairman of the Board			
(Street) PHOENIX, AZ 85027			4. If Amendment, Date Original Filed(Month/Day/Year) 09/20/2005						6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
	(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acq						uired, Disposed of, or Beneficially Owned			
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution I any (Month/Day	Date, if	3. Transa Code (Instr.	8)	4. Securiti nor Disposo (Instr. 3, 4	ed of (		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Common Stock, \$0.0001 par value	09/16/2005			S	V	18,500	D	<u>(1)</u> <u>(2)</u>	0	D		
	Common Stock, \$0.0001 par value	09/16/2005			S		25,750	D	\$ 33.7292 (2)	1,263,619	I	Hartman Family Trust	
	Common Stock, \$0.0001 par value	09/16/2005			S		19,312	D	\$ 33.7292 (2)	738,160	I	Hartman Investment Limited Partnership	

#### Edgar Filing: UNIVERSAL TECHNICAL INSTITUTE INC - Form 4/A

Common Stock, \$0.0001	09/16/2005	S	6,438	D	\$ 33.7292 (2)	136,062	I	Hartman Charitable Remainder
par value					_			Unittrust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transac Code (Instr. 8	0.88) D S S A (A D D (I	Number		ate	Under	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code	V (	A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Ketationships							
	Director	10% Owner	Officer	Other				

HARTMAN ROBERT D

20410 NORTH 19TH AVENUE X Chairman of the Board

PHOENIX, AZ 85027

# **Signatures**

Robert D. 09/30/2005 Hartman \*\*Signature of Date Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On September 16, reporting person gifted 18,500 shares to a charitable foundation.

**(2)** 

Reporting Owners 2

### Edgar Filing: UNIVERSAL TECHNICAL INSTITUTE INC - Form 4/A

The sales reported in the Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on or about December 15, 2004 and amended on August 15, 2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.