### Edgar Filing: HEARD MARIAN L - Form 4

HEARD M. Form 4	ARIAN L								
October 31,									
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSIO Washington, D.C. 20549								9PROVAL 3235-0287	
Check the			, va	Shington	Number: Expires:	January 31,			
if no lor subject Section Form 4 Form 5	to <b>SIAIE</b> 16. or	MENT OI		SECU	Estimated a burden hou response	irs per			
obligation may cor See Inst 1(b).	ons Section 17	(a) of the l	Public U	tility Hol	ne Securities Excha ding Company Act t Company Act of 1	of 1935 or Section	on		
(Print or Type	Responses)								
1. Name and Address of Reporting Person <u>*</u> HEARD MARIAN L			2. Issuer Name <b>and</b> Ticker or Trading Symbol			5. Relationship of Reporting Person(s) to Issuer			
					RK CORP [CVS]	(Che	ck all applicable	e)	
(Last) (First) (Middle) ONE CVS DRIVE			3. Date of Earliest Transaction (Month/Day/Year) 10/29/2007			X_ Director 10% Owner Officer (give title Other (specify below) below)			
			4. If Amendment, Date Original Filed(Month/Day/Year)			<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
WOONSO	CKET, RI 02895	-				Person		eporting	
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative Securities A	cquired, Disposed o	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemo Execution any (Month/Da	Date, if	3. Transactio Code (Instr. 8) Code V	4. Securities nAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) Price	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Re	port on a separate lin	e for each cl	ass of secu	urities bene	ficially owned directly	or indirectly.			
					Persons who res information cont required to resp	spond to the colle ained in this form ond unless the for ntly valid OMB co	are not rm	EC 1474 (9-02)	
				• • •					

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and Amount of	8. Pri
Derivative Conv	ersion (Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration Date	Underlying Securities	Deriv
Security or Ex	ercise	any	Code	of	(Month/Day/Year)	(Instr. 3 and 4)	Secu
(Instr. 3) Price	of	(Month/Day/Year)	(Instr. 8)	Derivative	;		(Inst

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	Derivative Security				Secu Acqu (A) o Disp of (D (Inst 4, an	or osed )) r. 3,					
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Share Credits	\$ 0	10/29/2007	А		23 (1)		(2)	(2)	Common Stock	23	\$
Share Credits	\$ 0	10/30/2007	А		24 (1)		(2)	(2)	Common Stock	24	\$
Stock Option	\$ 14.9625						01/02/2003	01/02/2012	Common Stock	30,000	

## **Reporting Owners**

Reporting Owner Name / Addro	ess	Relationships							
	Director	10% Owner	Officer	Other					
HEARD MARIAN L									
ONE CVS DRIVE	Х								
WOONSOCKET, RI 02895	-								
Signatures									
Marian L Heard	10/31/2007								
<u>**</u> Signature of Reporting Person	Date								

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Consists of share credits acquired as deferred annual grant, semi-annual compensation and/or meeting fees all at market price pursuant to the 1997 Incentive Compensation Plan.
- (2) Consists of Deferred Stock Compensation payable pursuant to Insider's election.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. : /s/ Bradley E. Singer, Chief Operating Officer 05/18/2015<sup>\*\*</sup>\_Signature of Reporting Person Date

VALUEACT CAPITAL MASTER FUND, L.P., By: VA PARTNERS I, LLC, its General Partner, By: /s/ Bradley E. Singer, Chief Operating Officer 05/18/2015\_Signature of Reporting Person Date

VA PARTNERS I, LLC, By: /s/ Bradley E. Singer, Chief Operating Officer 05/18/2015\_signature of Reporting Person Date

VALUEACT CAPITAL MANAGEMENT, L.P., By: VALUEACT CAPITAL MANAGEMENT, LLC, its General Partner, By: /s/ Bradley E. Singer, Chief Operating Officer 05/18/2015<sup>\*\*</sup>/<sub>signature of Reporting Person Date</sub>

#### Edgar Filing: HEARD MARIAN L - Form 4

VALUEACT CAPITAL MANAGEMENT, LLC, By: /s/ Bradley E. Singer, Chief Operating Officer

05/18/2015<sup>\*\*</sup>Signature of Reporting Person Date

VALUEACT HOLDINGS GP, LLC, By: /s/ Bradley E. Singer, Chief Operating Officer 05/18/2015\_\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each reporting person listed herein disclaims beneficial ownership of the reported securities except to the extent of its pecuniary
   (1) interest therein, and this report shall not be deemed an admission that such person is the beneficial owner of the securities for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.

The securities reported herein are directly beneficially owned by ValueAct Capital Master Fund, L.P. and may be deemed to be indirectly beneficially owned by (i) VA Partners I, LLC as General Partner of ValueAct Capital Master Fund, L.P., (ii) ValueAct Capital Management, L.P. as the manager of ValueAct Capital Master Fund, L.P., (iii) ValueAct Capital Management, LLC as General

- (2) Capital Management, L.P. as the management, L.P., (iv) ValueAct Capital Master Fund, E.F., (iii) ValueAct Capital Management, L.P., (iv) ValueAct Holdings, L.P. as the sole owner of the limited partnership interests of ValueAct Capital Management, L.P. and the membership interests of ValueAct Capital Management, L.P. and the membership interests of ValueAct Capital Management, L.P. and the membership interests of ValueAct Capital Management, L.P. and the membership interests of ValueAct Capital Management, L.P. and the membership interests of ValueAct Capital Management, L.P. and the membership interests of ValueAct Capital Management, L.P. and the membership interests of ValueAct Capital Management, L.P. and the membership interests of ValueAct Holdings GP, LLC as General Partner of ValueAct Holdings, L.P.
- (3) The restricted stock units ("RSUs") represent the reporting person's annual equity award under the Allison Transmission Holdings, Inc. (the "Company") Second Amended and Restated Non-Employee Director Compensation Policy ("Policy").
- (4) Each RSU represents a contingent right to receive one share of the Company's common stock.
- (5) The RSUs vest on the date of the next annual meeting of the stockholders of the Company. Unvested RSUs earn dividend equivalents when dividends are declared on the Company's common stock.
- (6) The number of RSUs received was calculated based on \$31.62, which was the closing price of the Company's common stock on the date of grant.

Under an agreement with ValueAct Capital, Gregory P. Spivy is deemed to hold the common stock for the benefit of ValueAct Capital Master Fund, L.P. and indirectly for (i) VA Partners I, LLC as General Partner of ValueAct Capital Master Fund, L.P., (ii) ValueAct

(7) Capital Management, L.P. as the manager of ValueAct Capital Master Fund, L.P., (iii) ValueAct Capital Management, LLC as General Partner of ValueAct Capital Management, L.P., (iv) ValueAct Holdings, L.P. as the sole owner of the limited partnership interests of ValueAct Capital Management, LLC and the membership interests of ValueAct Capital Management, LLC and the membership interests of ValueAct Capital Management, LLC and (v) ValueAct Holdings GP, LLC as General Partner of ValueAct Holdings, L.P.

#### **Remarks:**

-The reporting persons herein may be deemed to be members of a "group" for purposes of the Securities Exchange Act of 1934

- Gregory P. Spivy, a Partner at ValueAct Capital, serves on the board of directors of the Issuer. As a result, the other reporting

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