

WAGNER WILLIAM J
Form 4
August 07, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
WAGNER WILLIAM J

2. Issuer Name and Ticker or Trading Symbol
Northwest Bancshares, Inc. [NWBI]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
100 LIBERTY STREET

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
08/03/2018

Director 10% Owner
 Officer (give title below) Other (specify below)
Executive Chairman

WARREN, PA 16365

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Northwest Bancshares, Inc. Common Stock | 08/03/2018 | | I | | 22,843.667 | D | \$ 17.8871 |
| Northwest Bancshares, Inc. Common Stock | 08/03/2018 | | M | | 9,204 | A | \$ 7.48 |
| Northwest Bancshares, Inc. Common Stock | 08/03/2018 | | M | | 11,884 | A | \$ 9.79 |

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| | | | | | | | | | |
|---|------------|---|--------|---|----------------------|------------|---|--|------------------|
| Inc. Common Stock | | | | | | | | | |
| Northwest Bancshares, Inc. Common Stock | 08/03/2018 | F | 13,610 | D | \$ 17.93 | 270,945.56 | D | | |
| Northwest Bancshares, Inc. Common Stock | 08/06/2018 | S | 25,108 | D | \$ 17.8371 (2) | 245,837.56 | D | | |
| Northwest Bancshares, Inc. Common Stock | 08/06/2018 | S | 10,625 | D | \$ 17.8078 (3) | 235,212.56 | D | | |
| Northwest Bancshares, Inc. Common Stock | | | | | | 170,991.61 | I | | Wife |
| Northwest Bancshares, Inc. Common Stock | | | | | | 4,762 | I | | IRA |
| Northwest Bancshares, Inc. Common Stock | | | | | | 5,840 | I | | Wife's IRA |
| Northwest Bancshares, Inc. Common Stock | | | | | | 3,406.92 | I | | Trust For Son |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares | | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|-----------------|--------------|----------------------------|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Options (Right to Buy) | \$ 7.48 | 08/03/2018 | | M | | 9,204 | | 02/18/2010 | 02/18/2019 | Common Stock | 9,204 |
| Stock Options (Right to Buy) | \$ 9.79 | 08/03/2018 | | M | | 11,884 | | 11/19/2009 | 11/19/2018 | Common Stock | 11,884 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|--------------------|-------|
| | Director | 10% Owner | Officer | Other |
| WAGNER WILLIAM J 100 LIBERTY STREET WARREN, PA 16365 | X | | Executive Chairman | |

Signatures

William J. Wagner
08/07/2018

__Signature of Reporting Person
Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.
- (2) Average price of 14 lots with a high of \$17.87 and a low of \$17.77.
- (3) Average price of 10 lots with a high of \$17.835 and a low of \$17.80.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.