Edgar Filing: ROGERS WILLIAM H JR - Form 4/A

ROGERS WILLIAM H JR Form 4/A February 26, 2003

FORM 4

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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OMB APPROVAL

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

					me and Tic anks, Inc.			6. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Middle)								1 uai v 23. 2003	_ Director 10% Owner X Officer (give title below) Other (specify below)			
							<u>Executive Vice</u> President					
(Street) Atlanta, GA 30308							Date (Mc	e of Original onth/Day/Year) ruary 12, 2003	 7. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 			
(City)	(State) (Zip)	Table I Non-Derivative Securities Acquired,						Disposed of, or Beneficially Owned			
	2. Trans- action Date (Month/ Day/	2A. Deemed Execution Date, if any (Month/Day/ Year)	3. Trans	s- Code	4. Securitie (A) or Disp (Instr. 3, 4 Amount	es Acqu oosed o	uired	5. Amount of Securities Beneficially Owned Follow- ing Reported Transactions(s) (Instr. 3 & 4)	6. Owner- ship Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial		
Common Stock								5,040.88	2 I	401(k) ⁽¹⁾		
Common Stock								6	0 I	Custodian Account ⁽²⁾		
Common Stock	2/11/03		Α		17,760	Α		37,76	0 I	Restricted Stock ⁽³⁾		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g.,	puts.	calls.	warrants,	options,	convertible securities)
(**8')	p			00000000	

1. Title of	f 2. Conver-	3.	3A.	4.	5.	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Nature
Derivativ	e sion or	Trans-	Deemed	Trans-	Number	and Expiration	Amount of	Derivative	Derivative	Owner-	of Indirect

Security (Instr. 3)		action Date (Month/ Day/ Year)	Execution Date, if any (Month/ Day/ Year)	action Code (Instr. 8)	Deriv	or osed)) r.	(Maonth/Day/ Maear) 1		Underlying Securities (Instr. 3 & 4)		Beneficially Owned Following Reported Transaction(s) (Instr. 4)		Beneficial Ownership (Instr. 4)
				Code	√ (A) (I	D) Date Exer-cisab	Expira- le tion Date		Amount or Number of Shares				
Phantom Stock Units <u>(4)</u>	1 for 1					(4)	(4)	Common Stock			113.600	D	
Phantom Stock Units ⁽⁵⁾	1 for 1					(5)	(5)	Common Stock			16,000	D	
Option ⁽⁶⁾	33.1875					1/1/96	11/13/05	Common Stock			2,000	D	
Option (6)	73.0625				\square	11/9/02	11/9/09	Common Stock			1,365	D	
Option (6)	73.0625	1				11/9/02	11/9/09	Common Stock			4,635	D	
Option ⁽⁷⁾	51.125				\square	11/14/03	11/14/10	Common Stock			10,000	D	
Option (7)	64.57				Π	11/13/04	11/13/11	Common Stock			15,000	D	
Option (7)	54.28	í				2/11/06	2/11/13	Common Stock			15,000	D	

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Explanation of Responses:

(1) Because the stock fund component of the 401(k) Plan is accounted for in unit accounting, the number of share equivalents varies based on the closing price of SunTrust stock on the applicable measurement date.

(2) Held as Custodian for daugher, Heather Christian Rogers, and son, David Henry Rogers.

(3) Restricted stock held under 1986 SunTrust Executive Stock Plan, 1995 SunTrust Executive Stock Plan and SunTrust Banks, Inc. 2000 Stock Plan. Subject to certain vesting conditions. Restricted stock agreements contain tax withholding features allowing stock to be withheld to satisfy tax withholding obligations. All plans are exempt under Rule 16(b)-3.

(4) The reported phantom stock units were acquired under SunTrust Banks, Inc.'s 401(k) excess benefit plan.

(5) Granted in exchange for restricted stock. Will be paid out on various dates.

(6) Granted pursuant to the 1995 SunTrust Executive Stock Plan.

(7) Granted pursuant to the SunTrust Banks, Inc. 2000 Stock Plan.

By: /s/ Margaret Hodgson, Attorney-in-Fact for William H. February 26, 2003 Rogers, Jr. Date

**Signature of Reporting Person

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

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