Edgar Filing: WisdomTree Investments, Inc. - Form 4

WisdomTree Investments, Inc. Form 4

Stock

January 24, 2	2014										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL			
	UNITED	STATES					IGE (COMMISSION	OMB	3235-0287	
Check thi	is box		Was	hington	, D.C. 20	549			Number:	January 31,	
if no long subject to Section 1 Form 4 or	F CHANGES IN BENEFICIAL OW SECURITIES						Expires: Estimated a burden hou response	2005 d average burs per			
Form 5 obligation may cont <i>See</i> Instru 1(b).	ns inue. Section 17	(a) of the		ility Hol	ding Con	pany	Act of	ge Act of 1934, f 1935 or Sectio 40	n		
(Print or Type F	Responses)										
1. Name and Address of Reporting Person <u>*</u> Siracusano Luciano III			2. Issuer Name and Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer			
			Wisdom [WETF]		estments,	Inc.		(Chec	k all applicable	;)	
(Last) 380 MADIS FLOOR	(First)	(Middle) 21ST	3. Date of (Month/Da 01/22/20	ay/Year)	ransaction			Director X Officer (give below) Chief In		o Owner er (specify egist	
				. If Amendment, Date Original ïled(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
NEW YORI	K, NY 10017							•	Iore than One Re		
(City)	(State)	(Zip)	Table	e I - Non-I	Derivative S	Securit	ies Acc	quired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date any (Month/Day/Year)		on Date, if	Date, if Transaction(A) or Disposed of Code (D)				Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common				Code V	Amount 17,636	or (D)	Price	Transaction(s) (Instr. 3 and 4)			
	12/19/2012			F	(1)	D	\$0	370,702	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(1)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. iorNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	Date	Amou Unde Secur	le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code N	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Edgar Filing: WisdomTree Investments, Inc. - Form 4

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Siracusano Luciano III 380 MADISON AVENUE 21ST FLOOR NEW YORK, NY 10017			Chief Investment Strategist					
Signatures								
/s/ Peter M. Ziemba, Attorney-in-Fact		01/24/201	14					
**Signature of Reporting Person		Date						
Evelopetion of De								

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Surrender of common stock to issuer upon vesting of restricted stock award to cover withholding taxes.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.