Edgar Filing: WisdomTree Investments, Inc. - Form 4

WisdomTree Investments, Inc. Form 4 January 16, 2014

January 16, 2	2014											
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION									r	OMB APPROVAL		
	UNITEDS	SIAIES S		hington,			IGE (COMMISSION	OMB Number:	3235-0287		
Check thi if no long	er	box										
subject to Section 1 Form 4 or	ENT OF	F CHANGES IN BENEFICIAL OW SECURITIES					NERSHIP OF	Estimated a burden hou response	rs per			
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940												
(Print or Type R	Responses)											
1. Name and A Ziemba Pete	2. Issuer Name and Ticker or Trading Symbol WisdomTree Investments, Inc.					5. Relationship of Reporting Person(s) to Issuer						
			WETF]		estiments,	mc.		(Check all applicable)				
(Last) C/O WISDO	(Month/I			e of Earliest Transaction h/Day/Year)				Director 10% Owner X Officer (give title Other (specify below) below)				
INVESTME	ENTS, INC., 380 AVENUE, 21ST	ſ)1/14/20	/14				Chie	ef Legal Officer			
				Amendment, Date Original Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
NEW YORK	K, NY 10017							Form filed by M Person				
(City)	(State) (Zip)	Table	e I - Non-D	erivative S	ecurit	ies Aco	quired, Disposed o	f, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)2. Transaction I (Month/Day/Ye		te 2A. Deemed) Execution Date, if any (Month/Day/Year)		Code	on(A) or Dis (D)	sposed 4 and 5 (A)	of	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
G				Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Common Stock	01/14/2014			А	30,167 (1)	А	\$0	701,644	D			
Common Stock								13,800 (2)	Ι	By Son		
Common Stock								8,800 <u>(2)</u>	I	By Daughter		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Ziemba Peter M C/O WISDOMTREE INVESTMENTS, INC. 380 MADISON AVENUE, 21ST FLOOR NEW YORK, NY 10017			Chief Legal Officer			
Signatures						

Si

/s/ Peter M. 01/16/2014 Ziemba **Signature of Date Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Restricted stock awarded by Issuer on January 14,2014 and vesting as to 10,055 shares on each of January 14, 2015 and 2016 and and as (1) to 10,057 shares on January 14, 2017.
- The reporting person disclaims beneficial ownership of these securities and this report shall not be deemed an admission that the reporting (2)person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.