Edgar Filing: Viso Joaquin Bautista - Form 4

May 02, 2012 FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION Washington, D.C. 20549 Status of the low of the low of the securities and the securities exchange Act of 1934, obligations Section 16. Form 4 or Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Model applicable Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1940 10. (Print or Type Responses) 1. Name and Address of Reporting Person 1 (Nomth/Day/Year) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Stree) 4. If Amendment, Date Original Filed(Month/Day/Year) (Stree) 4. If Amendment, Date Original Filed(Month/Day/Year) (Stree) 4. If Amendment, Date Original (Nomth/Day/Year) (Stree) 4. If Amendment, Date Original (Month/Day/Year) (Stree) (Stree) (Month/Day/Year) (Stree) (Month/Day/Year) (Stree) (Stree) (Month/Day/Year) (Stree) (Stree) (Month/Day/Year) (Stree) (Month/Day/Year) (Stree) (Stree) (Month/Day/Year) (Check all applicable Line) (Nomth/Day/Year) (Stree) (Month/Day/Year) (Stree) (Month/Day/Year) (Check Disposed of (D) Beneficially Ower from The Disposed of Origon Beneficially Owned (Instr. 3) (Month/Day/Year) (Instr. 3, 4. As 5) (Month/Day/Year) (Instr. 3, 4. As 5) (Instr. 3, 4. As 5) (Instr. 3, 4. As 5) (Instr. 4) (Instr. 3) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (Instr.	Viso Joaqui	n Bautista								
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CURING 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB Number: 3235-0287 Check this box if no longer subject to Section 16. Section 16. Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Expires: January 31, 2010 Form 4 or Form 5 or Form 5 or Form 5 or Form 5 or Filed pursuant to Section 16(a) of the Securities Exchange Act of 1935, or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10b; Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 30(h) of the Investment Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 Section 16(a) One For Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 Section 16(a) One Public Act of Advance Act of 1940 Section 16(a) One Public Act of Advance Act of 1940 Section 16(a) One Public Act of Advance Act of 1940 Section 16(a) One Public Act of Advance Act of 1940 Section 16(a) One Public Act of Advance Act of 1940 Section 16(a) One Public Act of Advance Act of 1940 Section 16(a) One Public Advance Act of 1940										
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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8. Pri
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof Derivative	Expiration Date	Underlying Securities	Deriv
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Secu

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(Instr. 3)	Price of Derivative Security	(Month	/Day/Year) (Inst	r. 8)	Acquired (A) or Disposed (D) (Instr. 3, and 5)	d of					(Instr
			Code	e V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Deferred Share Units	<u>(1)</u>	04/30/2012	А		7,551		<u>(1)</u>	<u>(1)</u>	Restricted Voting Shares	7,551	<u>(</u>

Reporting Owners

Reporting Owner Name / Address		Relationsh		
	Director	10% Owner	Officer	Other
Viso Joaquin Bautista 270 MUNOZ RIVERA AVENUE MAIL BOX 34 SAN JUAN, PR 00911	Х			
Signatures				
/s/ David S Field, Attorney-in-fact	05/02	/2012		
<u>**</u> Signature of Reporting Person	D	ate		

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each deferred share unit ("DSU") entitles its holder, upon ceasing to be a director, to receive an amount of cash having the same value as one restricted voting share of Patheon Inc. at such time.

The DSUs credited to the director's account consist of (i) 3,606 DSUs for retainer fees that are required to be paid to the director in the form of DSUs and (ii) 3,945 DSUs for retainer fees that the director has elected to be paid in the form of DSUs. The number of DSUs

(2) acquired by the director was based on the weighted-average price at which the Company's restricted voting shares traded on the Toronto Stock Exchange on April 26, 2012 and April 27, 2012 (the two trading days prior to the acquisition date), which was \$2.22 (as converted from C\$2.18 using the average of the closing rates of exchange from Reuters on April 26 and April 27, 2012).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.