Edgar Filing: COMPLETE GENOMICS INC - Form 5

COMPLETE GENOMICS INC

Form 5

February 14, 2012

FORM	I 5							OMB A	PPROVAL	
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Check this box if Washington, D.C. 20549									3235-0362	
no longer	subject	eshington, D.C. 20549 ENT OF CHANGES IN BENEFICIAL RSHIP OF SECURITIES				Expires:	January 31, 2005			
to Section Form 4 or 5 obligation may contin	Form ANNI ons nue.					EFICIAL	Estimated average burden hours per response 1.0			
See Instruction 1(b). Form 3 Horal Reported Form 4 Transaction Reported	Filed purs oldings Section 17(a	euant to Section 1 a) of the Public U 30(h) of the In	tility Holdin	g Compa	any A	ct of	1935 or Sectio	on		
1. Name and A	Name and Ticker or Trading LETE GENOMICS INC				5. Relationship of Reporting Person(s) to Issuer					
	[GNON					(Check all applicable)				
(Last)	(First) (M	(Month/I	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2011				_X Director 10% Owner Other (specify below)			
_	IED ADVISORS THIRD AVENUE		011							
	endment, Date Original nth/Day/Year)				6. Individual or Joint/Group Reporting (check applicable line)					
	^ ^						(chec	ж аррисаетс пис	,	
NEW YORI					_X_ Form Filed by One Reporting Person Form Filed by More than One Reporting Person					
(City)	(State) (Zip) Tab	le I - Non-Deri	ivative Sec	uritie	s Acqu	ired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned at end of Issuer's	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Amount	(A) or (D)	Price	Fiscal Year (Instr. 3 and 4)	(IIIsu. +)	(IIIsu. 1)	
Common Stock, par value \$0.001	11/30/2011	Â	M	1,666	A	\$ 0 (1)	1,666	D	Â	
Reminder: Rep securities benef	•						SEC 2270 (9-02)			

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	\$ 0 (2)	11/30/2011	Â	M	1,666	(3)	(3)	Common Stock	1,666

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

GORDON CARL L

C/O ORBIMED ADVISORS LLC
767 THIRD AVENUE, 30TH FLOOR
NEW YORK, NYÂ 10017

Signatures

/s/ Maureen Monahan, Attorney in Fact for Mr. Gordon

02/14/2012

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares were acquired upon vesting and conversion of Restricted Stock Units, which were received as a grant for which no consideration was paid.
- (2) Upon vesting, Reporting Person is entitled to receive one share of common stock for each restricted stock unit.
- The restricted stock units vest in three successive and equal annual installments measured from November 30, 2010, such that the restricted stock units will vest in full on the third anniversary of November 30, 2010, subject to the Reporting Person's continued employment or service relationship with the Issuer on each of the vesting dates. Such restricted stock units include a change of control provision such that all unvested awards will immediately vest and become exercisable in connection with a change of control of Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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