Splain Michael E Form 4 July 30, 2009

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Splain Michael E Issuer Symbol SUN MICROSYSTEMS, INC. (Check all applicable) [JAVA] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) 4150 NETWORK CIRCLE 07/28/2009 EVP, Microelectronics

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

SANTA CLARA, CA 95054

(City)	(State)	Zip) Table	e I - Non-D	erivative :	Secur	ities Ac	quired, Disposed	of, or Beneficia	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi onAcquired Disposed (Instr. 3,	d (A) o	<b>)</b> )	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	07/28/2009		Code V F	Amount 201	(D)	Price \$ 9.2	(Instr. 3 and 4) 88,929 (1)	D	
Common Stock							10,000	I	Shares held by Michael E. Splain & Donna M. Splain JTWROS

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)

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# required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 65					<u>(2)</u>	06/13/2009	Common Stock	2,500
Employee Stock Option (Right to Buy)	\$ 31.64					(2)	09/27/2009	Common Stock	3,750
Employee Stock Option (Right to Buy)	\$ 50.36					(2)	11/07/2009	Common Stock	6,250
Employee Stock Option (Right to Buy)	\$ 50.36					(2)	11/07/2009	Common Stock	6,250
Employee Stock Option (Right to Buy)	\$ 36.56					(2)	03/19/2010	Common Stock	50
Employee Stock Option	\$ 36.56					(2)	03/19/2010	Common Stock	3,750

8. Pr Deriv Secu (Inst

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(Right to Buy)					
Employee Stock Option (Right to Buy)	\$ 14.8	(2)	07/25/2010	Common Stock	7,500
Employee Stock Option (Right to Buy)	\$ 14.8	(2)	07/25/2010	Common Stock	11,250
Employee Stock Option (Right to Buy)	\$ 16.8	(2)	05/21/2011	Common Stock	11,250
Employee Stock Option (Right to Buy)	\$ 16.832	(2)	11/13/2011	Common Stock	11,250
Employee Stock Option (Right to Buy)	\$ 15	(2)	05/18/2012	Common Stock	10,000
Employee Stock Option (Right to Buy)	\$ 15.76	(2)	09/17/2012	Common Stock	12,500
Employee Stock Option (Right to Buy)	\$ 18.4	(2)	11/10/2012	Common Stock	5,000
Employee Stock Option (Right to Buy)	\$ 16.48	(2)	01/27/2013	Common Stock	15,000
Employee Stock Option (Right to	\$ 15.4	(2)	07/28/2013	Common Stock	20,750

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Stock
Option \$ 21.32

(2) 11/02/2014 Common Stock

(Right to Buy)

Employee

Stock
Option \$ 26.56

(2) 01/31/2015 Common Stock 13,125

17,500

(Right to Buy)

Employee Stock

Option \$ 20

(2) 11/14/2015 Common Stock 15,525

(Right to Buy)

# **Reporting Owners**

**Reporting Owner Name / Address** 

Relationships

Director

Officer

Other

Splain Michael E

4150 NETWORK CIRCLE SANTA CLARA, CA 95054 EVP, Microelectronics

#### **Signatures**

/s/ Craig D. Norris, Attorney-In-Fact

07/28/2009

10% Owner

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This includes 71,138 shares of unvested restricted stock units.
- (2) This option vests and becomes exercisable in five equal annual installments beginning on the first anniversary of the date of grant.

#### **Remarks:**

**Exhibit List** 

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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