

WICKS FLOYD E  
Form 4  
June 08, 2006

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
WICKS FLOYD E

2. Issuer Name and Ticker or Trading Symbol  
AMERICAN STATES WATER CO  
[AWR]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)  
1647 POSILIPO LANE APT. E  
  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
06/07/2006

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
CEO and President

SANTA BARBARA, CA 93108  
  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | (A) or (D) | 5. Amount or Price | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|------------|--------------------|---|--|-----------------------------------|
| Common Stock                    | 06/07/2006                           |  | M                              | 12,000  | A          | \$ 23.21           | 16,923.549  | D  |                                   |
| Common Stock                    | 06/07/2006                           |  | S                              | 500   | D          | \$ 36.65           | 16,423.549  | D  |                                   |
| Common Stock                    | 06/07/2006                           |  | S                              | 11,500  | D          | \$ 36.25           | 4,923.549   | D  |                                   |
| Common Stock                    | 06/07/2006                           |  | M                              | 18,000  | A          | \$ 23.43           | 22,923.549  | D  |                                   |
| Common Stock                    | 06/07/2006                           |  | S                              | 100   | D          | \$ 36.68           | 22,823.549  | D  |                                   |

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|              |            |   |     |   |          |            |   |
|--------------|------------|---|-----|---|----------|------------|---|
| Common Stock | 06/07/2006 | S | 200 | D | \$ 36.65 | 22,623.549 | D |
| Common Stock | 06/07/2006 | S | 300 | D | \$ 36.64 | 22,323.549 | D |
| Common Stock | 06/07/2006 | S | 400 | D | \$ 36.6  | 21,923.549 | D |
| Common Stock | 06/07/2006 | S | 100 | D | \$ 36.59 | 21,823.549 | D |
| Common Stock | 06/07/2006 | S | 300 | D | \$ 36.54 | 21,523.549 | D |
| Common Stock | 06/07/2006 | S | 400 | D | \$ 36.53 | 21,123.549 | D |
| Common Stock | 06/07/2006 | S | 200 | D | \$ 36.52 | 20,923.549 | D |
| Common Stock | 06/07/2006 | S | 100 | D | \$ 36.51 | 20,823.549 | D |
| Common Stock | 06/07/2006 | S | 400 | D | \$ 36.5  | 20,423.549 | D |
| Common Stock | 06/07/2006 | S | 500 | D | \$ 36.46 | 19,923.549 | D |
| Common Stock | 06/07/2006 | S | 200 | D | \$ 36.44 | 19,723.549 | D |
| Common Stock | 06/07/2006 | S | 800 | D | \$ 36.42 | 18,923.549 | D |
| Common Stock | 06/07/2006 | S | 400 | D | \$ 36.41 | 18,523.549 | D |
| Common Stock | 06/07/2006 | S | 400 | D | \$ 36.4  | 18,123.549 | D |
| Common Stock | 06/07/2006 | S | 200 | D | \$ 36.39 | 17,923.549 | D |
| Common Stock | 06/07/2006 | S | 300 | D | \$ 36.38 | 17,623.549 | D |
| Common Stock | 06/07/2006 | S | 300 | D | \$ 36.37 | 17,323.549 | D |
| Common Stock | 06/07/2006 | S | 500 | D | \$ 36.35 | 16,823.549 | D |
| Common Stock | 06/07/2006 | S | 400 | D | \$ 36.33 | 16,423.549 | D |
| Common Stock | 06/07/2006 | S | 400 | D | \$ 36.31 | 16,023.549 | D |
|              | 06/07/2006 | S | 100 | D | \$ 36.3  | 15,923.549 | D |

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|              |            |  |   |     |   |                         |              |
|--------------|------------|--|---|-----|---|-------------------------|--------------|
| Common Stock |            |  |   |     |   |                         |              |
| Common Stock | 06/07/2006 |  | S | 600 | D | \$ 36.29                | 15,323.549 D |
| Common Stock | 06/07/2006 |  | S | 200 | D | \$ 36.21                | 15,123.549 D |
| Common Stock | 06/07/2006 |  | S | 300 | D | \$ 36.19                | 14,823.549 D |
| Common Stock | 06/07/2006 |  | S | 400 | D | \$ 36.15 <sup>(3)</sup> | 14,423.549 D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |        |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|--------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                      |        |
| Employee Stock Option (right to buy)       | \$ 23.21   | 06/07/2006                           |  | M                              |   | <u>(1)</u>   | 01/01/2011  | Common Stock               | 12,000 |
| Employee Stock Option (right to buy)       | \$ 23.43   | 06/07/2006                           |  | M                              |   | <u>(2)</u>   | 02/03/2012  | Common Stock               | 18,000 |

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

WICKS FLOYD E  
1647 POSILIPO LANE APT. E  
SANTA BARBARA, CA 93108

CEO and President

## Signatures

/s/ Floyd E.

06/08/2006

Wicks

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The Option became exercisable as to 3,960 of the 12,000 total number of shares subject to the option on January 1, 2002, an additional 3,960 on January 1, 2003 and an additional 4,080 on January 1, 2004.

(2) The Option became exercisable as to 7,425 of the 22,500 total number of shares subject to the option on February 3, 2003, an additional 7,425 on February 3, 2004 and an additional 7,650 on February 3, 2005.

(3) Filing 1 of 3

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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