WAGNER JAMES C Form 4 March 07, 2003

SEC Form 4

(City)

FORM 4

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility

Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response.....0.5

1. Name and Address of Reporting
Person*
Wagner, James

(Last) (First)
(Middle)

150 N. Meramec

(Street)

St. Louis, MO 63105

(State)

(Zip)

Issuer Name
 and Ticker or Trading
 Symbol

4. Statement for (Month/Day/Year

03/07/2003

6. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Enterprise Financial Services Corp EFSC

3. I.R.S. Identification

Number of Reporting

Person, if an entity (voluntary)

431706259

5. If Amendment, Date of Original (Month/Day/Year) _ Director _ 10% Owner X Officer (give title below) _ Other (specify below)

Description <u>President</u> Executive Vice

7. Individual or Joint/Group Filing (Check Applicable Line)

X Form filed by One Reporting PersonForm filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2.Transaction 1. Title of 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Owner-7. Nature of Security Execution Date, if Transactio n(A) or Disposed Of (D) Securities Indirect Date ship (Month/Day/Year) (Instr. 3, 4, and 5) Beneficially Form: Beneficial (Instr. Code 3) (Month/Day/Year) (Instr. Owned Direct Ownership 8) Following (D) Reported (Instr. 4) Transaction(s) Indirect Code ٧ Amount A/D Price (Instr. 3 and (Instr. 4) Common **EBSP III, LLC** \$ 9,107 ı Stock Common Grandchildren ı \$ 18,470 Stock **Trust** Jt/w Spouse Common 03/07/2003 Р 6000 Α \$13.00 37,535 ī Stock

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/ Year)	3A. Deemed Execution Date, if any (Month/ Day/ Year)	Code	5. Number action of Derivative Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		6. Date Exercisable(DE and Expiration Date(ED) (Month/Day/Y		Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr.5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr.4)	
				Code	٧	Α	D	DE	ED	Title	Amount or Number of Shares		
Incentive Stock Option (right to buy)	\$5.33								04/01/07	Common Stock	30,000	\$	30,000
Incentive Stock Option (right to buy)	\$10.25								09/24/12	Common Stock	8,500	\$	8,500
Incentive Stock Option (right to buy)	\$11.75								07/01/11	Common Stock	8,000	\$	8,000
Incentive Stock Option (right to buy)	\$15.								09/01/10	Common Stock	7,000	\$	7,000

Explanation of Responses:

By:	Date:

03/07/2003

** Signature of Reporting Person

/s/ James C. Wagner by proxy

SEC 1474 (9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.