ENTERPRISE FINANCIAL SERVICES CORP

Form 4

January 07, 2003

SEC Form 4

OMB APPROVAL UNITED STATES SECURITIES AND EXCHANGE FORM 4 COMMISSION Washington, D.C. 20549 [] Check this box if no longer subject to Section 16. Form OMB Number: 3235-0287 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP 4 or Form Expires: January 31, 2005 5 obligations may continue. Estimated average burden See Instruction 1(b). hours per response. 0.5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1. Name and Address of Reporting Person* 2. Issuer Name 4. Statement for 6. Relationship of Reporting Person(s) Sanfilippo, Frank and Ticker or Trading Month/Day/Year to Issuer Symbol (Check all applicable) 01/06/03 (Last) (First) **Enterprise Financial** Director _ 10% Owner (Middle) X Officer (give title below) **Services Corp** 150 N. Meramec _ Other **EFSC** (specify below) 5. If Amendment, (Street) Date of Original Description **Chief Financial** 3. I.R.S. Identification St. Louis, MO 63105 (Month/Day/Year) Officer Number of Reporting Person, if an entity (City) (State) (voluntary) 7. Individual or Joint/Group (Zip) 431706259 Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person

		Table I -	Non-Deriva	tive Sec	uritie	es Acqui	red, D	isposed (of, or Be	eneficially	y Owned		
1. Title of Security (Instr. 3)	2.Transaction Date (Month/Day/Y	Execut any	2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction (Code (Instr. 8)			٠,	of (D) Securit 5) Benefic Owned Following		urities ship eficially led Direct (D)	7. Nature of Indirect Beneficial Ownership	
					٧	Amount	A/D	Price	Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	(Instr. 4)		
Common Stock	01/06/03			Р		2,000	А	\$12.75	2,	000	ı	Joint w/ Spouse	
				Table II						•	of, or Benefi tible securi	cially Owned ties)	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	Transaction Deemed Execution		Code	sactio	Derivative Securities		6. Date Exercisa and Expira Date(ED	able(DE) ation	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		f 8. Price of Derivative Security (Instr.5)	9. Number of Derivative Securities Beneficially Owned

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		Year)				Of (D) (Instr. 3,		1				(Instr.4)
						4 and 5)						
			_	Code	٧	Α	D	DE	ED	Title	Amount or Number of Shares	
Incentive Stock Option (right to buy)	\$10.25								09/24/12	Common Stock	8,500.00	8,500.00
Incentive Stock Option (right to buy)	\$11.75								07/01/11	Common Stock	15,000.00	\$ 15,000.00

Explanation of Responses:

By:

/s/ Frank H. Sanfilippo by Power of Attorney 01/07/03

** Signature of Reporting Person

Date

SEC 1474 (9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.