ENTERPRISE FINANCIAL SERVICES CORP

Form 4

January 07, 2003

SEC Form 4

OMB APPROVAL UNITED STATES SECURITIES AND EXCHANGE FORM 4 COMMISSION Washington, D.C. 20549 [] Check this box if no longer subject to Section 16. Form OMB Number: 3235-0287 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP 4 or Form Expires: January 31, 2005 5 obligations may continue. Estimated average burden See Instruction 1(b). hours per response. 0.5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1. Name and Address of Reporting 2. Issuer Name 4. Statement for 6. Relationship of Reporting Person(s) Person' and Ticker or Trading Month/Day/Year to Issuer Eller, Fred Symbol (Check all applicable) 01/07/2003 X Director _ 10% Owner **Enterprise Financial** (Last) (First) **Services Corp** Officer (give title below) _ Other (Middle) **EFSC** (specify below) 150 N. Meramec 5. If Amendment, Date of Original 3. I.R.S. Identification Description (Street) (Month/Day/Year) Number of Reporting MO 63105 St. Louis, Person, if an entity 7. Individual or Joint/Group (voluntary) Filing (Check Applicable Line) (City) (State) 431706259 (Zip) X Form filed by One Reporting Person Form filed by More than One

	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1. Title of Security (Instr. 3)	2.Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)		4. Securities Acquired n(A) or Disposed Of (D) (Instr. 3, 4, and 5)			5. Amount of Securities Beneficially Owned Following	6. Owner-ship Form: Direct (D)	7. Nature of Indirect Beneficial Ownership		
			Code	٧	Amount	A/D	Price	Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	(Instr. 4)		
Common Stock							\$	60	D			
Common Stock							\$	40,000	ı	by Trust		
Common Stock							\$	9,107	ı	EBSP III, LLC		
Common Stock	01/06/03		s		2,000	D	\$12.75	226,215	I	Family Partnership		
Common Stock							\$	45,540	I	Spouse Trust		

Reporting Person

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			Та							isposed of, s, convertib		cially Owned es)	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/ Year)	3A. Deemed Execution Date, if any (Month/ Day/ Year)	4. Transactio Code (Instr.8)		5. Number nof Derivative Securities		and Ex Date	rcisable(DE	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr.5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr.4)
				Code	>	А	D	DE	ED	Title	Amount or Number of Shares		
Incentvie Stock Option (right to buy)	\$11.75								07/01/11	Common Stock	8,000	\$	8,000
Incentive Stock Option (right to buy)	\$15.00	_							09/01/10	Common Stock	9,000	\$	9,000

Explanation of Responses:

Bv:

/s/ Fred H. Eller by Power of Attorney

01/07/03

** Signature of Reporting Person
Date

SEC 1474 (9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.