MOONEY JAMES P Form 4

October 31, 2002

Mooney, James P.

50 Public Square

(Last)

**Suite 3500** 

Cleveland.

(City)

### SEC Form 4

# FORM 4

[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

1. Name and Address of Reporting Person\*

(Middle)

(Street)

OH 44113

(First)

(State) (Zip)

## **UNITED STATES SECURITIES AND EXCHANGE** COMMISSION

Washington, D.C. 20549

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility

Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

6. Relationship of Reporting Person(s)

3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)

2. Issuer Name

OM Group, Inc.

Symbol

and Ticker or Trading

4. Statement for Month/Day/Year

10/29/2002

5. If Amendment, Date of Original (Month/Day/Year) to Issuer

**OMB APPROVAL** 

OMB Number: 3235-0287

Expires: January 31, 2005

Estimated average burden

hours per response. . . . . 0.5

(Check all applicable)

X Director \_ 10% Owner X Officer (give title below) \_ Other (specify below)

Description

- 7. Individual or Joint/Group Filing (Check Applicable Line)
- X Form filed by One Reporting Person
- Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1. Title of Security (Instr. 3)	2.Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)		4. Securit n(A) or Dis (Instr. 3	posed	Of (D)	5. Amount of Securities Beneficially Owned Following	6. Owner- ship Form: Direct (D)	7. Nature of Indirect Beneficial Ownership	
			Code	٧	Amount	A/D	Price	Reported Transaction(s)  (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	(Instr. 4)	
Common Stock	10/29/2002		S*		127,065	D	\$9.5406		D		
Common Stock	10/29/2002		S*		67,525	D	\$9.5406	391**	D		
Common Stock	10/29/2002		S*		399,833	D	\$9.5406		I	****see below	
Common Stock	10/29/2002		S*		120,774	D	\$9.5406	835***	ı	****see below	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: MOONEY JAMES P - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/ Year)	3A. Deemed Execution Date, if any  (Month/ Day/ Year)	4. Transactio Code (Instr.8)		Derivative Securities		and Expirati Date(ED)		e(DE)unt of Underlying ib6ecurities		8. Price of Derivative Security (Instr.5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr.4)	10. Owner- ship Form of Deriv- ative Securities: Direct (D) or Indirect (I)
				Code	V	А	D	DE	ED	Title	Amount or Number of Shares			
	\$											\$		

### **Explanation of Responses:**

- \* Involuntary Liquidation pursuant to Margin Call
- \*\* Dividend Reinvestment
- \*\*\* Remaining Shares in Profit Sharing
- \*\*\*\* By Lion Investment Co.

#### By:

/s/ Michael J. Scott, Attorney-in-Fact

10/31/2002

\*\* Signature of Reporting Person

Date

SEC 1474 (8-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.