## ENTERPRISE FINANCIAL SERVICES CORP

Form 4

October 31, 2002

SEC Form 4

## **OMB APPROVAL UNITED STATES SECURITIES AND EXCHANGE** FORM 4 COMMISSION Washington, D.C. 20549 [ ] Check this box if no longer subject to Section 16. Form OMB Number: 3235-0287 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP 4 or Form Expires: January 31, 2005 5 obligations may continue. Estimated average burden See Instruction 1(b). hours per response. . . . . 0.5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1. Name and Address of Reporting 2. Issuer Name 4. Statement for 6. Relationship of Reporting Person(s) Person' and Ticker or Trading Month/Day/Year to Issuer Eichner, Kevin Symbol (Check all applicable) 10/31/2002 X Director \_ 10% Owner **Enterprise Financial** (Last) (First) **Services Corp** Officer (give title below) (Middle) \_ Other **EFSC** (specify below) 150 North Meramec 5. If Amendment, Date of Original 3. I.R.S. Identification Description Vice Chairman (Street) (Month/Day/Year) Number of Reporting MO 63105 St. Louis, Person, if an entity 7. Individual or Joint/Group (voluntary) Filing (Check Applicable Line) (City) (State) 43-1706259 (Zip) X Form filed by One Reporting Person Form filed by More than One Reporting Person

	1	Гable I - Non-Deri	ivative Se	curiti	ies Acqu	ired, Dis	posed	of, or Benefic	cially Owned			
1. Title of Security (Instr. 3)	2.Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Co	Transaction Code (Instr.		rities Acc isposed . 3, 4, an	Of (D)	5. Amount o Securities Beneficial Owned Following	ship Form: Direct (D)	7. Nature of Indirect Beneficial Ownership		
			Code	e V	Amount	A/D	Price	Reported Transaction(s) (Instr. 3 and 4)	(s) Indirect	(In	nstr. 4)	
Common Stock							\$	138,550	D			
Common Stock							\$	90,000	I	by Tr	rust	
Common Stock							\$	9,107	107 I		P III. LLC	
			Table						sed of, or Bene onvertible secu			
1. Title of Derivative Security	sion or		eemed ecution	4. Trans Code	sactionof	Number		rcisable(DE) o	7. Title and Amor of Underlying	unt 8	8. Price of Derivative	9. Number o Derivative Securities

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(Instr. 3)	Price of Deri- vative Security	(Month/ Day/ Year)	Date, if any (Month/ Day/ Year)	(Inst	r.8)	Acquir (A) or Dispos Of (D)	equired Dat (I or sposed (D) (Instr. 3,		xpiration (ED) Month/Day/\	Securities (Instr. 3 and 4) Year)		Security (Instr.5)	Beneficially Owned Following Reported Transaction(s) (Instr.4)
				Code	V	Α	D	DE	ED	Title	Amount or Number of Shares		
Incentive Stock Option (right to buy)	\$5.33								04/01/07	Common Stock	30,000	\$	30,000
Incentive Stock Option (right to buy)	\$11.75								07/01/11	Common Stock	5,000	\$	5,000
Incentive Stock Option (right to buy)	\$15.00								09/01/10	Common Stock	5,000	\$	5,000
Non-Qualified Stock Option (right to buy)	\$	07/01/02		А					07/01/12	Common Stock	82,905	\$9.30	82,905

**Explanation of Responses:** 

Bv:

/s/ Kevin Eichner by Power of Attorney

10/31/2002

\*\* Signature of Reporting Person

Date

SEC 1474 (8-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.