

WHITE MILES D

Form 4

March 20, 2019

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
WHITE MILES D

(Last) (First) (Middle)

100 ABBOTT PARK ROAD

(Street)

ABBOTT PARK, IL 60064-6400

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

ABBOTT LABORATORIES [ABT]

3. Date of Earliest Transaction
(Month/Day/Year)

03/18/2019

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chairman and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common shares without par value	03/18/2019		M		295,000	A	\$ 26.1879
Common shares without par value	03/18/2019		M		294,700	A	\$ 22.3919
Common shares without par value	03/18/2019		S		3,471	D	\$ 78.185
							3,469,165
							3,763,865
							3,760,394

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Common shares without par value	03/18/2019	S	12,383	D	\$ 78.15	3,748,011	D
Common shares without par value	03/18/2019	S	100	D	\$ 79.21	3,747,911	D
Common shares without par value	03/18/2019	S	1,683	D	\$ 78.96	3,746,228	D
Common shares without par value	03/18/2019	S	250	D	\$ 79.53	3,745,978	D
Common shares without par value	03/18/2019	S	300	D	\$ 79.195	3,745,678	D
Common shares without par value	03/18/2019	S	1,130	D	\$ 79.69	3,744,548	D
Common shares without par value	03/18/2019	S	100	D	\$ 79.925	3,744,448	D
Common shares without par value	03/18/2019	S	400	D	\$ 78.071	3,744,048	D
Common shares without par value	03/18/2019	S	723	D	\$ 79.12	3,743,325	D
Common shares without par value	03/18/2019	S	5,442	D	\$ 79.01	3,737,883	D
Common shares without par value	03/18/2019	S	4,600	D	\$ 79.05	3,733,283	D
	03/18/2019	S	7,800	D	\$ 78.71	3,725,483	D

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Common
shares
without
par value

Common
shares
without
par value

Common
shares
without
par value

Common
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par value

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Common
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without
par value

Common
shares
without
par value

03/18/2019

S

3,680

D

\$ 79.51

3,721,803

D

03/18/2019

S

1,725

D

\$ 78.13

3,720,078

D

03/18/2019

S

10,908

D

\$ 78.055

3,709,170

D

03/18/2019

S

100

D

\$ 78.6

3,709,070

D

03/18/2019

S

123

D

\$ 78.405

3,708,947

D

03/18/2019

S

300

D

\$ 78.97

3,708,647

D

03/18/2019

S

1,960

D

\$ 78.64

3,706,687

D

03/18/2019

S

812

D

\$ 79.11

3,705,875

D

03/18/2019

S

2,649

D

\$ 78.895

3,703,226

D

03/18/2019

S

7,092

D

\$ 78.9

3,696,134

D

03/18/2019

S

3,008

D

\$ 79.2

3,693,126

D

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Common
shares
without
par value

Common
shares
without
par value

Common
shares
without
par value

Common
shares
without
par value

Common
shares
without
par value

03/18/2019

S

100

D

\$
78.7775

3,693,026

D

03/18/2019

S

100

D

\$ 79.72

3,692,926

D

03/18/2019

S

100

D

\$
78.8675

3,692,826

D

03/18/2019

S

4,700

D

\$ 78.19

3,688,126

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Option (right to buy) ⁽¹⁾	\$ 26.1879	03/18/2019		M		295,000		02/19/2013	02/18/2020	Common shares	295,000
Option (right to buy) ⁽¹⁾	\$ 22.3919	03/18/2019		M		294,700		02/18/2014	02/17/2021	Common shares	294,700

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
WHITE MILES D 100 ABBOTT PARK ROAD ABBOTT PARK, IL 60064-6400	X		Chairman and CEO	

Signatures

Jessica H. Paik, by power of attorney for Miles D.
White

03/20/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Employee stock option granted pursuant to the Abbott Laboratories 2009 Incentive Stock Program, in a transaction exempt from Section 16 under Rule 16b-3.

Remarks:

These transactions were made pursuant to a previously adopted plan complying with Rule 10b5-1(c). Form 1 of 7.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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