Morin Francois Form 3 June 05, 2018

### FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

**Â** Morin François

(Last)

(First)

C/O ARCH CAPITAL GROUP

LTD., Â WATERLOO HOUSE,

(Street)

100 PITTS BAY ROAD

(Middle)

Statement

(Month/Day/Year)

05/25/2018

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

ARCH CAPITAL GROUP LTD. [ACGL]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

Director

10% Owner

\_X\_\_ Officer Other (give title below) (specify below) EVP and CFO

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

PEMBROKE, DOÂ HM 08

(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

Form: Direct (D) or Indirect (Instr. 5)

(I) (Instr. 5)

Common Shares, \$.0033 par value per share

8,902

D

Common Shares, \$.0033 par value per share 28,435

Ι See Footnote (11)

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Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** 

Conversion or Exercise

Ownership

Form of

6. Nature of Indirect Beneficial

Ownership

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	(Instr. 4)		(Instr. 4)		Price of	Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Share Appreciation Right	(1)	10/03/2021	Common Shares, \$.0033 par value per share	5,400	\$ 31.93	D	Â
Share Appreciation Right	(2)	05/09/2022	Common Shares, \$.0033 par value per share	2,100	\$ 38.58	D	Â
Share Appreciation Right	11/12/2017	11/12/2022	Common Shares, \$.0033 par value per share	5,500	\$ 42.65	D	Â
Share Appreciation Right	(3)	05/09/2023	Common Shares, \$.0033 par value per share	1,885	\$ 53.53	D	Â
Share Appreciation Right	(4)	07/25/2023	Common Shares, \$.0033 par value per share	1,675	\$ 54.28	D	Â
Share Appreciation Right	(5)	05/13/2024	Common Shares, \$.0033 par value per share	2,000	\$ 57.27	D	Â
Share Appreciation Right	(6)	12/04/2024	Common Shares, \$.0033 par value per share	1,533	\$ 58.28	D	Â
Stock Option (right to buy)	(7)	05/13/2025	Common Shares, \$.0033 par value per share	3,820	\$ 62.505	D	Â
	(8)	05/13/2026		4,210	\$ 71.7	D	Â

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Stock Option (right to buy)			Common Shares, \$.0033 par value per share			
Stock Option (right to buy)	(9)	05/08/2027	Common Shares, \$.0033 par 3,670 value per share	\$ 96.26	D	Â
Stock Option (right to buy)	(10)	05/11/2028	Common Shares, \$.0033 par 10,408 value per share	\$ 79.65	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships			
1 8	Director	10% Owner	Officer	Other
Morin Francois C/O ARCH CAPITAL GROUP LTD.	Â	Â	EVP and CFO	Â
WATERLOO HOUSE, 100 PITTS BAY ROAD PEMBROKE, Â DOÂ HM 08				

## **Signatures**

/s/ Francois Morin	06/04/2018		
**Signature of Reporting Person	Date		

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Share Appreciation Right became exercisable in three equal annual installments, with the first installment becoming exercisable on October 3, 2012 and the next two installments on October 3, 2013 and October 3, 2014, subject to the applicable award agreement.
- The Share Appreciation Right became exercisable in three equal annual installments, with the first installment becoming exercisable on May 9, 2013 and the next two installments on May 9, 2014 and May 9, 2015, subject to the applicable award agreement.
- (3) The Share Appreciation Right became exercisable in three equal annual installments, with the first installment becoming exercisable on May 9, 2014 and the next two installments on May 9, 2015 and May 9, 2016, subject to the applicable award agreement.
- The Share Appreciation Right became exercisable in three equal annual installments, with the first installment becoming exercisable on July 25, 2014 and the next two installments on July 25, 2015 and July 25, 2016, subject to the applicable award agreement.
- The Share Appreciation Right became exercisable in three equal annual installments, with the first installment becoming exercisable on May 13, 2015 and the next two installments on May 13, 2016 and May 13, 2017, subject to the applicable award agreement.
- The Share Appreciation Right became exercisable in three equal annual installments, with the first installment becoming exercisable on December 4, 2015 and the next two installments on December 4, 2016 and December 4, 2017, subject to the applicable award agreement.

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- (7) The Stock Option is exercisable in three equal annual installments, with the first installment becoming exercisable on May 13, 2016 and the next two installments on May 13, 2017 and May 13, 2018, subject to the applicable award agreement.
- (8) The Stock Option is exercisable in three equal annual installments, with the first installment becoming exercisable on May 13, 2017 and the next two installments on May 13, 2018 and May 13, 2019, subject to the applicable award agreement.
- (9) The Stock Option is exercisable in three equal annual installments, with the first installment becoming exercisable on May 8, 2018 and the next two installments on May 8, 2019 and May 8, 2020, subject to the applicable award agreement.
- (10) The Stock Option is exercisable in three equal annual installments, with the first installment becoming exercisable on May 11, 2019, and the next two installments on May 11, 2020 and May 11, 2021, subject to the applicable award agreement.
- (11) The reporting person owns such common shares indirectly with his spouse.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.