#### WESLEY CHARLES R

Form 4 June 04, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

3235-0287 Number: January 31, Expires:

2005

0.5

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Estimated average burden hours per response...

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

Common

Unit

05/31/2018

(Print or Type Responses)

1. Name and Address of Reporting Person ** WESLEY CHARLES R			2. Issuer Name <b>and</b> Ticker or Trading Symbol ALLIANCE RESOURCE PARTNERS LP [ARLP]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last) 1717 S. BOUL AVENUE, SU		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 05/31/2018	X Director 10% OwnerX Officer (give title Other (specify below) Executive Vice President		
TULSA, OK	(Street) 74119		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

(City)	(State)	(Zip) Tabl	e I - Non-I	Derivative Sec	urities	Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Approximately Disposed Constr. 3, 4 and	of (D)	ed (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Unit	05/31/2018		Code V A	Amount 1,035,728 (1)	(D)	Price (1)	1,035,728	D (2)	
Common Unit	05/31/2018		A	635,618	A	(1)	635,618	I	By Wesley Family LP (3)
Common Unit	05/31/2018		A	167,116	A	<u>(1)</u>	167,116	I	By Trust

1,035,729 A

(1)

1,035,729

A

By Trust

(5)

### Edgar Filing: WESLEY CHARLES R - Form 4

Common Unit	05/31/2018	A	715,506	A	(1)	715,506	I	By Trust
Common Unit	05/31/2018	A	715,506	A	<u>(1)</u>	715,506	I	By Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. DrNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom unit	<u>(8)</u>					<u>(9)</u>	(10)	Common	106,949

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
WESLEY CHARLES R 1717 S. BOULDER AVENUE SUITE 400 TULSA, OK 74119	X		Executive Vice President				

# **Signatures**

/s/ Charles R. Wesley III by Kenneth Hemm, pursuant to power of attorney dated April 11, 2013

06/04/2018

8. Price Derivation Security (Instr. 5)

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

### Edgar Filing: WESLEY CHARLES R - Form 4

The common units were acquired as consideration for the AHGP common units held by the reporting person immediately prior to the effectiveness of the transactions contemplated by the Simplification Agreement dated February 22, 2018 (the "Simplification

- (1) Agreement"). Pursuant to the Simplification Agreement, all AHGP common units were canceled and converted into the right to receive all of the ARLP common units held by AHGP and its subsidiaries on May 31, 2018. Based on a formula calculated pursuant to the Simplification Agreement, the exchange ratio was 1.478181161 ARLP common units for each AHGP common unit.
- (2) Units held by the Charles Wesley Revocable Trust U/A dated 3/28/2006, which Charles R. Wesley III is trustee.
- (3) Units held by the Wesley Family LP, which Charles R. Wesley III is trustee.
- (4) Units held by the Charles R. Wesley Family Trust Irrevocable Trust Agreement, which Nancy Wesley is trustee.
- (5) Units held by the Nancy Wesley Revocable Trust U/A dated 03/28/2006, which Nancy Wesley is trustee.
- (6) Units held by the Charles Wesley 2016 Retained Annuity Trust, which Jeffrey Burns is trustee
- (7) Units held by the Nancy Wesley 2016 Retained Annuity Trust, which Jeffrey Burns is trustee.
- **(8)** 1 for 1
- (9) The Phantom Units are to be settled in ARLP common units upon the reporting person's death or termination
- (10) Not applicable

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.