MCINERNEY TIMOTHY

Form 4

January 04, 2011

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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OMB APPROVAL

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Section 16.

Form 4 or

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * **MCINERNEY TIMOTHY**

2. Issuer Name and Ticker or Trading

Symbol

Issuer

ZIOPHARM ONCOLOGY INC [ZIOP]

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last)

(First)

(Street)

(Middle)

3. Date of Earliest Transaction

4. If Amendment, Date Original

X Director Officer (give title

10% Owner Other (specify

12/31/2010

(Month/Day/Year)

Filed(Month/Day/Year)

3.

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

HOPEWELL, NJ 08525

ONE COVENTRY LANE

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

2. Transaction Date 2A. Deemed 1.Title of Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

4. Securities

5. Amount of Securities Beneficially (D) or Owned Following

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

(A)

Reported Transaction(s)

or Code V Amount (D) Price

(Instr. 3 and 4)

Common

Stock, \$.001 par

value

230,705 (1) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	ion S A o (5. Number Derivative Securities Acquired or Dispos (D) (Instr. 3, 4 and 5)	ve s l (A) sed of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	ī	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Warrants to Purchase Common Stock	\$ 4.75							09/13/2005	05/31/2012	Common Stock, \$.001 par value	20,767
Director Stock Option (right to buy)	\$ 4.31							07/20/2007	07/20/2015	Common Stock, \$.001 par value	15,029
Director Stock Option (right to buy)	\$ 5.01							04/26/2006	04/26/2016	Common Stock	15,000
Warrants to Purchase Common Stock	\$ 5.09							05/03/2006	05/03/2013	Common Stock, \$.001 par value	80,737
Director Stock Option (right to buy)	\$ 6.49							12/13/2009	12/13/2016	Common Stock	15,000
Warrant	\$ 5.75							02/23/2007	02/23/2012	Common Stock	35,739
Stock Option (right to buy)	\$ 4.85							(2)	06/18/2017	Common Stock	15,000
Stock Option (right to buy)	\$ 2.73							(3)	12/12/2017	Common Stock	20,000
	\$ 0.7							<u>(4)</u>	05/13/2019		15,000

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Stock Option (right to buy)							Common Stock	
Warrants to purchase common stock	\$ 2.04				09/15/2009	09/15/2014	Common Stock, \$.001 par value	50,000
Warrants to purchase common stock	\$ 2.04				09/15/2009	09/15/2014	Common Stock	40,298
Warrants to Purchase Common Stock	\$ 4.02				12/09/2009	12/09/2014	Common Stock	25,000
Stock Option (right to buy)	\$ 2.85				<u>(5)</u>	12/31/2019	Common Stock, \$.001 par value	7,500
Stock Option (right to buy)	\$ 5.09				03/31/2011	03/31/2020	Common Stock, \$.001 par value	15,000
Warrants to purchase Common Stock	\$ 4.02				04/14/2010	12/09/2014	Common Stock	29,898
Stock Option (right to buy)	\$ 4.77	12/31/2010	A	30,000	<u>(6)</u>	12/31/2020	Common Stock	30,000

Reporting Owners

Reporting Owner Name / Address	Relationships							
• 0	Director	10% Owner	Officer	Other				
MCINERNEY TIMOTHY								
ONE COVENTRY LANE	X							
HOPEWELL, NJ 08525								

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Signatures

/s/ Timothy McInerney

01/03/2010

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes grants of restricted stock with restrictions that lapsed as to 3,750 shares on December 31, 2010 and restrictions that will lapse as to 15,000 shares on March 31, 2011 and as to 3,750 shares on December 31, 2011.
- (2) 5,000 shares vest on each of 6/18/08, 6/18/09 and 6/18/10.
- (3) 6,667 shares vest on each of 12/12/08 and 12/12/09; 6,666 shares vest on 12/12/10.
- (4) 5,000 shares vest on each of 12/31/09, 6/30/2010 and 12/31/2010.
- (5) 3,750 shares vest on each of 12/31/2010 and 12/31/2011.
- (6) 10,000 shares vest on each of 12/31/2011, 12/31/2012 and 12/31/2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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