Summer Infant, Inc. Form 4 December 22, 2015

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

**OMB APPROVAL** 

Number:

3235-0287

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* WYNNEFIELD PARTNERS SMALL CAP VALUE LP

(Last)

(First)

(Middle)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

Summer Infant, Inc. [SUMR] 3. Date of Earliest Transaction

(Month/Day/Year) 12/18/2015

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

450 SEVENTH AVENUE, SUITE 509

(State)

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

Other (specify Officer (give title below) 6. Individual or Joint/Group Filing(Check

Applicable Line)

Director

Form filed by One Reporting Person X\_ Form filed by More than One Reporting

Ι

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

NEW YORK, NY 10123

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) any

(Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)

6. Ownership 7. Nature of Form: Direct (D) or Indirect (I) (Instr. 4)

Indirect Beneficial Ownership (Instr. 4)

10% Owner

Common

share

(City)

Stock, par value \$0.0001 per

12/18/2015

P

Code V

8,570 A

Amount (D)

(A)

or

Price

2,877,770

See Footnote

(1) (2) (3) (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transacti	5. orNumber	6. Date Exer Expiration D		7. Titl Amou		8. Price of Derivative	9. Nu Deriv
Security	or Exercise		any	Code	of	(Month/Day/	/Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	,	Securi	, ,	(Instr. 5)	Bene
,	Derivative				Securities	3		(Instr.	3 and 4)	,	Owne
	Security				Acquired			•			Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
									or		
							Expiration		Number		
						Exercisable	Date		of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
WYNNEFIELD PARTNERS SMALL CAP VALUE LP 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123		X					
WYNNEFIELD PARTNERS SMALL CAP VALUE LP I 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123		X					
WYNNEFIELD SMALL CAP VALUE OFFSHORE FUND LTD 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123		X					
WYNNEFIELD CAPITAL MANAGEMENT LLC 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123		X					
WYNNEFIELD CAPITAL INC 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123		X					
Wynnefield Capital, Inc. Profit Sharing Plan 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123		X					

Reporting Owners 2

X

X

**OBUS NELSON** 

450 SEVENTH AVENUE

**SUITE 509** 

NEW YORK, NY 10123

LANDES JOSHUA

450 SEVENTH AVENUE

SUITE 509

NEW YORK, NY 10123

### **Signatures**

•		
	MALL CAP VALUE, L.P., By: Wynnefield Capital er, /s/ Nelson Obus, Managing Member	12/22/2015
	**Signature of Reporting Person	Date
	MALL CAP VALUE, L.P. I, By: Wynnefield Capital er, /s/ Nelson Obus, Managing Member	12/22/2015
	**Signature of Reporting Person	Date
WYNNEFIELD SMALL CAP V Inc., /s/ Nelson Obus, President	ALUE OFFSHORE FUND, LTD., By: Wynnefield Capital,	12/22/2015
	**Signature of Reporting Person	Date
WYNNEFIELD CAPITAL MAN	NAGEMENT, LLC, /s/ Nelson Obus, Managing Member	12/22/2015
	**Signature of Reporting Person	Date
WYNNEFIELD CAPITAL, INC	., /s/ Nelson Obus, President	12/22/2015
	**Signature of Reporting Person	Date
WYNNEFIELD CAPITAL, INC Manager	. PROFIT SHARING PLAN, /s/ Nelson Obus, Portfolio	12/22/2015
	**Signature of Reporting Person	Date
/s/ Nelson Obus, individually		12/22/2015
	**Signature of Reporting Person	Date
/s/ Joshua Landes, individually		12/22/2015
	**Signature of Reporting Person	Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - The Reporting Person directly beneficially owns 1,220,836 shares of common stock, \$0.0001 par value per share ("Common Stock") of Summer Infant, Inc. (the "Issuer"). Wynnefield Capital Management, LLC, as the sole general partner of the Reporting Person, has an
- (1) indirect beneficial ownership interest in the shares of Common Stock that the Reporting Person directly beneficially owns. Nelson Obus and Joshua Landes, as co-managing members of Wynnefield Capital Management, LLC, have an indirect beneficial ownership interest in the shares of Common Stock that the Reporting Person directly beneficially owns.
- (2) The Reporting Person has an indirect beneficial ownership interest in 1,859,801 shares of Common Stock, which are directly beneficially owned by Wynnefield Partners Small Cap Value, L.P. I, as members of a group under Section 13(d) of the Securities Exchange Act of 1934, as amended (the "Exchange Act"). Wynnefield Partners Small Cap Value, L.P. I, which maintains offices at the same address as the Reporting Person, is filing this statement jointly with the Reporting Person. Wynnefield Capital Management, LLC, as the sole general partner of Wynnefield Partners Small Cap Value, L.P. I, has an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Partners Small Cap Value L.P. I directly beneficially owns. Nelson Obus and Joshua Landes, as co-managing members of Wynnefield Capital Management, LLC, have an indirect beneficial ownership interest in the shares of

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Common Stock that Wynnefield Partners Small Cap Value, L.P. I directly beneficially owns.

The Reporting Person has an indirect beneficial ownership interest in 864,663 shares of Common Stock, which are directly beneficially owned by Wynnefield Small Cap Value Offshore Fund, Ltd., as members of a group under Section 13(d) of the Exchange Act.

Wynnefield Small Cap Value Offshore Fund, Ltd., which maintains offices at the same address as the Reporting Person, is filing this statement jointly with the Reporting Person. Wynnefield Capital, Inc. as the sole investment manager of Wynnefield Small Cap Value Offshore Fund, Ltd., has an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Small Cap Value Offshore Fund, Ltd. directly beneficially owns. Nelson Obus and Joshua Landes, as principal executive officers of Wynnefield Capital, Inc., have an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Small Cap Value Offshore Fund, Ltd. directly beneficially owns.

The Reporting Person has an indirect beneficial ownership interest in 153,306 shares of Common Stock, which are directly beneficially owned by Wynnefield Capital, Inc. Profit Sharing Plan, as members of a group under Section 13(d) of the Exchange Act. Wynnefield Capital, Inc. Profit Sharing Plan, which maintains offices at the same address as the Reporting Person, is filing this Form jointly with the Reporting Person. Mr. Obus, as portfolio manager, has the power to vote and dispose of Wynnefield Capital, Inc. Profit Sharing Plan's investments in securities and has an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Capital, Inc. Profit Sharing Plan directly beneficially owns.

#### **Remarks:**

Each of the Reporting Owners identified in this statement disclaims beneficial ownership of the securities described in this statement disclaims beneficial ownership of the securities described in this statement. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.