Summer Infant, Inc. Form 4 May 14, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * WYNNEFIELD PARTNERS SMALL CAP VALUE LP

(Last)

509

(First)

(Middle)

450 SEVENTH AVENUE, SUITE

(Street)

2. Issuer Name and Ticker or Trading Symbol

Summer Infant, Inc. [SUMR]

3. Date of Earliest Transaction (Month/Day/Year)

05/12/2015

4. If Amendment, Date Original

Filed(Month/Day/Year)

OMB APPROVAL

OMB Number:

3235-0287

Expires:

January 31, 2005

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response...

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

_X__ 10% Owner Director _ Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person X Form filed by More than One Reporting

NEW YORK, NY 10123

| (City) | (State) | Zip) Table | e I - Non-D | erivative S | Secur | ities Acq | quired, Disposed o | of, or Beneficial | lly Owned |
|--|---|---|---|----------------------------|------------------|--|--|---|--------------------------|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | tr. 8) (Instr. 3, 4 and 5) | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common | | | Code V | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | |
| Stock, par value \$0.0001 per share | 05/12/2015 | | P | 2,247 | A | \$ 2.64 | 1,029,210 | D (1) | |
| Common Stock, par value \$0.0001 per share | 05/12/2015 | | P | 5,153 | A | \$ 2.64 | 2,433,190 | I | See Footnote (2) (3) (4) |
| Common Stock, par | 05/13/2015 | | P | 790 | A | \$ 2.6 | 1,030,000 | D (1) | |

value

\$0.0001 per

share

Common

Stock, par See

value 05/13/2015 P 1,810 A \$ 2.6 2,435,000 I Footnote \$0.0001 per

share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of | 3. Transaction Date (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. onNumber of Derivative | 6. Date Exerc Expiration D (Month/Day/ | ate | 7. Titl Amou Under Secur | int of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene |
|---|---|---|-------------------------------|-----------------------------------|--|--------------------|-----------------------------------|--|--|---------------------------------|
| | Security | | | Acquired (A) or Disposed | | | | | | Follo Repo Trans |
| | | | | of (D) (Instr. 3, 4, and 5) | | | | | | (Instr |
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | |
|---|--|---------------|---------|-------|--|--|
| | | 10% Owner | Officer | Other | | |
| WYNNEFIELD PARTNERS SMALL CAP VALUE LP 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123 | | X | | | | |
| WYNNEFIELD PARTNERS SMALL CAP VALUE LP I 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123 | | X | | | | |
| WYNNEFIELD SMALL CAP VALUE OFFSHORE FUND LTD 450 SEVENTH AVENUE | | X | | | | |

Reporting Owners 2

X

X

Date

Date

05/14/2015

Date

05/14/2015

| CI | TTF | 509 |
|--------------|-----|-----|
| \mathbf{v} | | 202 |

SUITE 509

NEW YORK, NY 10123

WYNNEFIELD CAPITAL MANAGEMENT LLC

450 SEVENTH AVENUE

NEW YORK, NY 10123

WYNNEFIELD CAPITAL INC

450 SEVENTH AVENUE SUITE 509

NEW YORK, NY 10123

Wynnefield Capital, Inc. Profit Sharing Plan

450 SEVENTH AVENUE X **SUITE 509**

NEW YORK, NY 10123

OBUS NELSON

450 SEVENTH AVENUE X

SUITE 509

NEW YORK, NY 10123

LANDES JOSHUA

450 SEVENTH AVENUE X

SUITE 509 NEW YORK, NY 10123

Signatures

WYNNEFIELD PARTNERS SMALL CAP VALUE, L.P. I By: Wynnefield Capital

Management, LLC General Partner By: /s/ Nelson Obus Nelson Obus, Managing Member 05/14/2015 Date

**Signature of Reporting Person

WYNNEFIELD PARTNERS SMALL CAP VALUE, L.P. By: Wynnefield Capital

Management, LLC General Partner By: /s/ Nelson Obus Nelson Obus, Managing Member 05/14/2015

**Signature of Reporting Person

WYNNEFIELD SMALL CAP VALUE OFFSHORE FUND, LTD. By: Wynnefield Capital,

Inc. By: /s/ Nelson Obus Nelson Obus, President 05/14/2015

**Signature of Reporting Person

WYNNEFIELD CAPITAL, INC. PROFIT SHARING PLAN By: /s/ Nelson Obus Nelson

Obus, Portfolio Manager

**Signature of Reporting Person Date

WYNNEFIELD CAPITAL MANAGEMENT, LLC By: /s/ Nelson Obus Nelson Obus,

Managing Member 05/14/2015

**Signature of Reporting Person Date

WYNNEFIELD CAPITAL, INC. By: /s/ Nelson Obus Nelson Obus, President

**Signature of Reporting Person

05/14/2015

/s/ Nelson Obus Nelson Obus, individually

Signatures 3 **Signature of Reporting Person

Date

/s/ Joshua Landes Joshua Landes, individually

05/14/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - The Reporting Person directly beneficially owns 1,030,000 shares of common stock, \$0.0001 par value per share ("Common Stock") of Summer Infant, Inc. (the "Issuer"). Wynnefield Capital Management, LLC, as the sole general partner of the Reporting Person, has an
- (1) indirect beneficial ownership interest in the shares of Common Stock that the Reporting Person directly beneficially owns. Nelson Obus and Joshua Landes, as co-managing members of Wynnefield Capital Management, LLC, have an indirect beneficial ownership interest in the shares of Common Stock that the Reporting Person directly beneficially owns.
 - The Reporting Person has an indirect beneficial ownership interest in 1,549,664 shares of Common Stock, which are directly beneficially owned by Wynnefield Partners Small Cap Value, L.P. I, as member of a group under Section 13(d) of the Securities Exchange Act of 1934, as amended (the "Exchange Act"). Wynnefield Partners Small Cap Value, L.P. I, which maintains offices at the same address as the Reporting Person, is filing this statement jointly with the Reporting Person. Wynnefield Capital Management,
- LLC, as the sole general partner of Wynnefield Partners Small Cap Value, L.P. I, has an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Partners Small Cap Value L.P. I directly beneficially owns. Nelson Obus and Joshua Landes, as co-managing members of Wynnefield Capital Management, LLC, have an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Partners Small Cap Value, L.P. I directly beneficially owns.
 - The Reporting Person has an indirect beneficial ownership interest in 800,336 shares of Common Stock, which are directly beneficially owned by Wynnefield Small Cap Value Offshore Fund, Ltd., as member of a group under Section 13(d) of the Exchange Act.

 Wynnefield Small Cap Value Offshore Fund, Ltd., which maintains offices at the same address as the Reporting Person, is filing this
- (3) statement jointly with the Reporting Person. Wynnefield Capital, Inc. as the sole investment manager of Wynnefield Small Cap Value Offshore Fund, Ltd., has an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Small Cap Value Offshore Fund, Ltd. directly beneficially owns. Nelson Obus and Joshua Landes, as principal executive officers of Wynnefield Capital, Inc., have an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Small Cap Value Offshore Fund, Ltd. directly beneficially owns.
 - The Reporting Person has an indirect beneficial ownership interest in 85,000 shares of Common Stock, which are directly beneficially owned by Wynnefield Capital, Inc. Profit Sharing Plan, as member of a group under Section 13(d) of the Exchange Act. Wynnefield Capital, Inc. Profit Sharing Plan, which maintains offices at the same address as the Reporting Person, is filing this statement jointly
- with the Reporting Person. Mr. Obus, as portfolio manager, has the power to vote and dispose of Wynnefield Capital, Inc. Profit Sharing Plan's investments in securities and has an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Capital, Inc. Profit Sharing Plan directly beneficially owns.

Remarks:

Each of the Reporting Owners identified in this statement disclaims beneficial ownership of the securities described in this sta

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.