Edgar Filing: Gomez Phillip Louis III - Form 4

| Gomez Phill | ip Louis III | | | | | | | | | | |
|---|---|--|--|--------------|-------------------------------|-----------------------------------|------------------|--|---|---|--|
| Form 4 | 2 2010 | | | | | | | | | | |
| November 1 | 1 / | | | | | | | | | PPROVAL | |
| FORM 4 UNITED STATES SECURITIES AND E Washington, D.C. | | | | | | | | | OMB Number: | 3235-0287 | |
| Check thi if no long subject to Section 1 Form 4 o Form 5 | ger STATE 6. r | x STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | | January 31, 2005 average rs per 0.5 | |
| obligation may cont <i>See</i> Instru 1(b). | ns Section 17 | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | |
| (Print or Type F | Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Gomez Phillip Louis III | | | 2. Issuer Name and Ticker or Trading Symbol SIGA TECHNOLOGIES INC | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | | [SIGA] | | | | | (Check all applicable) | | | |
| (Last) (First) (Middle) C/O SIGA TECHNOLOGIES, INC.,, 31 EAST 62ND STREET | | | 3. Date of Earliest Transaction (Month/Day/Year) 11/12/2018 | | | | | Director 10% Owner Officer (give title Other (specify below) below) Director Chief Executive Officer | | | |
| | (Street) | 4. If Amendment, Date O Filed(Month/Day/Year) | | | e Original | Applicable Line _X_ Form filed | | | Joint/Group Filing(Check y One Reporting Person More than One Reporting | | |
| NEW YORI | K, NY 10065 | | | | | | | Person | | porting | |
| (City) | (State) | (Zip) | Table | e I - Non-Do | erivative S | ecurit | ies Acq | uired, Disposed of | f, or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date 2A. Dee (Month/Day/Year) Execution any (Month/ | | on Date, if | Code | Transaction(A) or Disposed of | | | Securities Beneficially Owned | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Comment | | | | Code V | Amount | (A) or (D) | Price | Reported Transaction(s) (Instr. 3 and 4) | | | |
| Common Stock, Par Value \$.0001 Per Share | 11/12/2018 | | | Р | 15,000 | A | \$ 5.2 (1) | 15,000 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | 7. Tit Amou Unde Secur (Instr | int of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|---|--|---|---------------------|--------------------|---|--|---|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|-------------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Gomez Phillip Louis III C/O SIGA TECHNOLOGIES, INC., 31 EAST 62ND STREET NEW YORK, NY 10065 | | | Chief Executive Officer | | | | |
| Signatures | | | | | | | |
| /s/ Phillip I | | | | | | | |

/s/ Phillip L. 11/13/2018 Gomez

<u>**</u>Signature of Reporting Person

Date

of rson

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$5.15 to \$5.24, inclusive. The reporting person undertakes to provide to SIGA Technologies, Inc., any security holder of SIGA

(1) ^{3,5,15,16,16,15,24}, inclusive. The reporting person undertakes to provide to STOA Technologies, inc., any security holder of STOA Technologies, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote (1) to this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.