Edgar Filing: HC2 Holdings, Inc. - Form 4

HC2 Holdings, In Form 4									
February 01, 2016)							OMB A	APPROVAL
FORM 4	UNITED	STATES		RITIES A			E COMMISSION		3235-0287
Check this box if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSH Section 16. SECURITIES Form 4 or Filed pursuant to Section 16(a) of the Securities Exchange Act of						Expires:	urs per		
abligations	·	(a) of the I	Public U	Itility Hol	ding Co		of 1935 or Section	on	
(Print or Type Respon	nses)								
· ·			 Issuer Name and Ticker or Trading Symbol HC2 Holdings, Inc. [HCHC] Date of Earliest Transaction 			5. Relationship of Reporting Person(s) to Issuer			
						-	(Check all applicable)		
(Last) (1 C/O HC2 HOLD HUNTMAR PAF 325	INGS, INC	., 505		Day/Year)	ransaction		X Director X Officer (giv below) CEO, C		
(S HERNDON, VA	Street) 20170			endment, D onth/Day/Yea	-	al	6. Individual or . Applicable Line) _X_ Form filed by Form filed by Person		Person
(City) (S	State)	(Zip)	Tab	ole I - Non-l	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	ally Owned
	nsaction Date h/Day/Year)	Execution any	Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3,	(A) or of (D)	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Densin dem Densertern		. f h 1			C . : . 11				
Reminder: Report on	a separate mit			unities belie	•	•	spond to the colle	ction of	SEC 1474
					inforı requi	nation cont red to resp ays a curre	are not rm	(9-02)	
	Tab					sposed of, or convertible	Beneficially Owned securities)	I	
1. Title of 2.	3. Trans	saction Date	3A. Dee	emed	4.	5. Number	. 6. Date Exercisa	ble and 7	. Title and Amoun

Derivative Conversion (Month/Day/Year) Execution Date, if Transaction Derivative Expiration Date

Underlying Securities De

of 8.1

Edgar Filing: HC2 Holdings, Inc. - Form 4

Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr.	Code Securitie (Instr. 8) Acquired (A) or Disposed (D) (Instr. 3, and 5)		d d of	(Month/Day	/Year)	(Instr. 3 and 4)		Sec (In:
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy)	\$ 7.93	01/29/2016		А		6,848 (1)		(2)	05/21/2024	Common Stock	6,848	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
FALCONE PHILIP C/O HC2 HOLDINGS, INC. 505 HUNTMAR PARK DRIVE, SUITE 325 HERNDON, VA 20170	Х	Х	CEO, Chairman & President				
Signatures							

/s/ Philip A. Falcone <u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Reflects shares underlying an additional option (the "Option") to purchase shares of the common stock of HC2 Holdings, Inc. (the
- "Company") issued pursuant to an option agreement, originally dated as of May 21, 2014 and as reformed and clarified on October 26, 2014 (the "Option Agreement"), between the Company and Philip Falcone.
- The Option vests in three equal annual installments beginning on the date of issuance, subject to Mr. Falcone's continued employment(2) with the Company on each vesting date, and will become immediately exercisable in the event of a Fundamental Change Transaction (as defined in the Option Agreement).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.