

BEL FUSE INC /NJ

Form 4

November 06, 2013

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
BERNSTEIN DANIEL

(Last) (First) (Middle)

**C/O BEL FUSE INC, 206 VAN
VORST STREET**

(Street)

JERSEY CITY, NJ 07302

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
BEL FUSE INC /NJ [BELFB]

3. Date of Earliest Transaction
(Month/Day/Year)
11/04/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

President and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class B Common Stock	11/04/2013		S	2,500	D \$ 21,118	3,275	D
Class B Common Stock	11/04/2013		A	12,000 (4)	A \$ 0	15,275	D
Class B Common Stock						59,052	I (1)
Class B Common						10,047 (2)	I
							By limited liability company
							By 401(k) plan

Stock				
Class B				
Common	55,939	I ⁽³⁾	By trust	
Stock				
Class A				
Common	351,621	D		
Stock				
Class A				
Common	1,583 ⁽²⁾	I	By 401(k)	
Stock			plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BERNSTEIN DANIEL C/O BEL FUSE INC 206 VAN VORST STREET JERSEY CITY, NJ 07302	X		President and CEO	

Signatures

Laura R. Kuntz, Esq.,
Attorney-in-Fact

11/06/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are owned by a family limited liability company of which Mr. Bernstein and his children are members.
- (2) The number of shares held in the 401(k) Plan is estimated.
- (3) These shares are owned by a trust of which Mr. Bernstein is the beneficiary.

- Mr. Bernstein was granted 12,000 restricted shares of Class B Common Stock on November 4, 2013. These restricted shares vest as follows: 3,000 shares vest as of November 4, 2015, 3,000 shares vest as of November 4, 2016, 3,000 shares vest as of November 4, 2017 and 3,000 shares vest as of November 4, 2018.
- (4)

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