Lindell Jody S Form 4 March 12, 2019

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB 3235-0287

**OMB APPROVAL** 

Number: Expires:

January 31, 2005

Estimated average burden hours per

response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

See Instruction

| 1. Name and Address of Reporting Person ** Lindell Jody S |            |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol COOPER COMPANIES INC [COO] | 5. Relationship of Reporting Person(s) to Issuer   |  |  |
|---|------------|----------|---|--|--|--|
| (Last)  | (First)    | (Middle) | 3. Date of Earliest Transaction   | (Check all applicable)   |  |  |
| 6140 STONERIDGE MALL<br>ROAD, SUITE 590                   |            | LL       | (Month/Day/Year)<br>01/09/2019  | X_ Director 10% Owner<br>Officer (give title below) Other (specify below)                            |  |  |
| (Street)  |            |          | 4. If Amendment, Date Original  | 6. Individual or Joint/Group Filing(Check  |  |  |
| PLEASANTON  | N, CA 9458 | 8        | Filed(Month/Day/Year)   | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |

| (City)                               | (State)                                 | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                                 |   |  |        |          |  |  |  |
|--------------------------------------|---|--|---------------------------------|---|--|--------|----------|--|--|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | 3.<br>Transa<br>Code<br>(Instr. |   | 4. Securi<br>on(A) or Do<br>(Instr. 3, | ispose | d of (D) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 4)                            |
| Common<br>Stock                      | 01/09/2019                              |  | G                               | V | 2,943                                  | D      | \$ 0     | 0  | I  | Jody S. Lindell<br>Retirement Trust,<br>dated January 1,<br>2011                       |
| Common<br>Stock                      | 01/09/2019                              |  | G                               | V | 2,943                                  | A      | \$ 0     | 2,943  | I  | Jody S. Lindell<br>SEP IRA   |
| Common<br>Stock                      | 03/08/2019                              |  | M                               |   | 7,500                                  | A      | \$ 28.52 | 20,054   | I  | Matthews-Lindell<br>Family Trust,<br>dated July 23,<br>1991 as amended<br>and restated |

#### Edgar Filing: Lindell Jody S - Form 4

| Common<br>Stock | 03/08/2019 | S | 7,500 | D | \$<br>284.59<br>(1) | 12,554 | I | Matthews-Lindell<br>Family Trust,<br>dated July 23,<br>1991 as amended<br>and restated |
|-----------------|------------|---|-------|---|---------------------|--------|---|--|
| Common<br>Stock |            |   |       |   |                     | 6,250  | I | Jody S. Lindell<br>Seperate Property<br>Trust  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Stock

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amour Underlying Securit (Instr. 3 and 4) |                                |
|---|---|--------------------------------------|---|---------------------------------------|---|--|--------------------|--|--------------------------------|
|   |   |                                      |   | Code V                                | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title  | Amo<br>or<br>Nun<br>of<br>Shar |
| Non-employee Director Stock                         | \$ 28.52  | 03/08/2019                           |   | M                                     | 7,500   | 11/02/2010   | 11/01/2019         | Common   | 7,5                            |

# **Reporting Owners**

Option (Right

to Buy)

| Reporting Owner Name / Address   | Relationships |           |         |       |  |  |
|--|---------------|-----------|---------|-------|--|--|
| Triporting of the France of France of  | Director      | 10% Owner | Officer | Other |  |  |
| Lindell Jody S<br>6140 STONERIDGE MALL ROAD<br>SUITE 590<br>PLEASANTON, CA 94588 | X             |           |         |       |  |  |

Reporting Owners 2

Edgar Filing: Lindell Jody S - Form 4

# **Signatures**

/s/ Jody S. 03/08/2019 Lindell

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$284.410 to \$285.375. The reporting person undertakes to provide to The Cooper Companies, Inc., any security holder of The Cooper Companies, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in the footnotes to this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3