Auerbach Robert D
Form 4
January 09, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number:	3235-0287
Expires:	January 31, 2005
Estimated average burden hours per response...	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *
Auerbach Robert D

(Last) (First) (Middle)

C/O THE COOPER COMPANIES, INC., 6140 STONERIDGE MALL ROAD, SUITE 590

(Street)

PLEASANTON, CA 94588

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading Symbol
COOPER COMPANIES INC [COO]

3. Date of Earliest Transaction (Month/Day/Year)
01/08/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

_____ Director _____ 10% Owner
__X__ Officer (give title below) _____ Other (specify below)
President, CSI

6. Individual or Joint/Group Filing(Check Applicable Line)
_X_ Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	01/08/2019		M		750	A	$ 0	3,022	D	
Common Stock	01/08/2019		F		261 (1)	D	$ 0	2,761	D	
Common Stock	01/08/2019		M		555	A	$ 0	3,316	D	
Common Stock	01/08/2019		F		174 (1)	D	$ 0	3,142	D	
Common Stock	01/08/2019		M		342	A	$ 0	3,484	D	

Common Stock	01/08/2019	F	108 (1)	D	$ 0	3,376	D	
Common Stock	01/08/2019	M	57	A	$ 0	3,433	D	
Common Stock	01/08/2019	F	18 (1)	D	$ 0	3,415	D	
Common Stock	01/08/2019	M	257	A	$ 0	3,672	D	
Common Stock	01/08/2019	F	81 (1)	D	$ 0	3,591	D	
Common Stock	01/08/2019	M	218	A	$ 0	3,809	D	
Common Stock	01/08/2019	F	69 (1)	D	$ 0	3,740	D	
Common Stock	01/08/2019	M	87	A	$ 0	3,827	D	
Common Stock	01/08/2019	F	28 (1)	D	$ 0	3,799	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(*e.g.*, puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price Derivat Securit (Instr.
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	(2)	01/08/2019		M			750	(3)	(4)	Common Stock	750	$ 0
Restricted	(2)	01/08/2019		M			555	(5)	(4)	Common	555	$ 0

Stock Units							Stock		
Restricted Stock Units	(2)	01/08/2019	M	342	(6)	(4)	Common Stock	342	$ 0
Restricted Stock Units	(2)	01/08/2019	M	57	(7)	(4)	Common Stock	57	$ 0
Restricted Stock Units	(2)	01/08/2019	M	257	(7)	(4)	Common Stock	257	$ 0
Restricted Stock Units	(2)	01/08/2019	M	218	(8)	(4)	Common Stock	218	$ 0
Restricted Stock Units	(2)	01/08/2019	M	87	(8)	(4)	Common Stock	87	$ 0

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Auerbach Robert D C/O THE COOPER COMPANIES, INC. 6140 STONERIDGE MALL ROAD, SUITE 590 PLEASANTON, CA 94588			President, CSI	

Signatures

/s/ Robert D. Auerbach, M.D.	01/09/2019
**Signature of Reporting Person	Date

Explanation of Responses:

***** If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

****** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) 1/8/2019 RSU Release: Shares withheld to cover applicable tax obligations. A FMV (closing price of the Company's common stock on 1/8/2019) of $253.83 was used.

(2) RSU Exercise Price: There is no exercise price associated with the grant of Restricted Stock Units.

(3) Grant Date 12/11/13 RSU Vesting Date: The grant vests in equal portions on the following dates: 1/8/15, 1/8/16, 1/8/17, 1/8/18 and 1/8/19.

(4) RSU Expiration Date: This award has no expiration date. Units will either vest or be forfeit.

(5) 12/9/14 RSU Grant - Vesting Date: The grant vests in equal portions on the following dates: 1/8/16, 1/8/17, 1/8/18, 1/8/19 and 1/8/20.

(6) 12/9/15 RSU Grant - Vesting Date: The grant vests in equal portions on the following dates: 1/8/17, 1/8/18, 1/8/19, 1/8/20 and 1/8/21.

(7) 12/13/16 RSU Grant - Vesting Date: The grant vests in equal portions on the following dates: 1/8/18, 1/8/19, 1/8/20, 1/8/21 and 1/8/22.

(8) 12/12/17 RSU Grant - Vesting Date: The grant vests in equal portions on the following dates: 1/8/19, 1/8/20, 1/8/21, 1/8/22 and 1/8/23.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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